

*Postseismic gravity changes observed from GRACE satellites:
The two components of postseismic gravity changes and the mechanisms of them*

重力衛星 GRACE を用いた地震後重力変化の研究：
余効変動の二成分の分離とそのメカニズムの考察

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ABSTRACT

The time series analysis of the gravity changes of the three M_w9 -class mega-thrust earthquakes, i.e. the 2004 Sumatra-Andaman earthquake, the 2010 Chile (Maule) earthquake, and the 2011 Tohoku-oki earthquake, provides the possibility to identify their multiple postseismic phenomena. We have three sensors for earthquakes. The first sensor is seismometers, and we can measure seismic waves with them. The second sensor, such as GNSS (Global Navigation Satellite System) and SAR (Synthetic Aperture Rader), can measure crustal movements associated with earthquakes. The third sensor is gravimetry. The first sensor cannot catch the signal of postseismic phenomena because they do not shake the ground. The second sensor can catch the signal of postseismic phenomena, but they cannot separate phenomena, such as afterslip and viscous relaxation, because these mechanisms let the ground move in the same polarity. However, these postseismic processes may result in different polarities in gravity changes. This suggests that the gravity can be a powerful sensor to separated signals of different postseismic processes.

GRACE (Gravity Recovery And Climate Experiment) is the twin satellite systems launched in 2002 by NASA (National Aeronautics and Space Administration) and DLR (German Space Agency). It provides the two-dimensional gravity field of the earth with high temporal and spatial resolution. GRACE gives us insights into mass movements beneath the surface associated with earthquakes. The gravity time series before and after large earthquakes with GRACE suggest that the gravity (1) decreases coseismically, (2) keeps on decreasing for a few months, and (3) increases over a longer period. In other words, the postseismic gravity changes seem to have two components, i.e. the short-term and the long-term components. This new discovery suggests that the gravity observations detected two different postseismic processes with opposite polarities.

The mechanisms of coseismic gravity changes are relatively well known but those of short- and long-term postseismic gravity changes are not so clear at the moment. They are explained with afterslip and viscoelastic relaxation to some extent, but problems still remain. Nevertheless, the gravity observation can do what seismometers and GNSS/SAR cannot do, i.e. to separate different postseismic processes giving rise to gravity changes in different polarities.

概要

本研究では、重力衛星 GRACE (Gravity Recovery And Climate Experiment) が捉えた超巨大逆断層型地震(2004 年スマトラアンダマン地震, 2010 年チリ(マウレ)地震, 2011 年東北沖地震)に伴う重力変化を時系列解析することで、重力が地震後に地球内部で起こっている現象を分離して観測できる第一の手段になりうることを示した。地震を観測するセンサーは今のところ三種類ある。第一のセンサーは地震計であり、第二のセンサーは GPS (Global Positioning System) を始めとする GNSS (Global Navigation Satellite System)及び SAR (Synthetic Aperture Rader)などの宇宙技術を用いた地殻変動の観測手法、そして重力観測が第三のセンサーである。地震計は地震波を捉え、GNSS や SAR は地殻変動を空から観測し、重力は質量移動を追跡する。地震「時」の現象はどのセンサーでも捉えることができる。しかし地震「後」の現象は、地震波を出さないため地震計では捉えられない。地震後の地表の動きは GNSS や SAR が捉えることができる。しかし、それらも地下で複数のメカニズム(余効すべりや粘弾性緩和)による過程が起こっていた場合、それらを分離して捉えることは難しい。可能なのは、いくつかの仮定を置いた上で、複数の現象に対応したモデル計算を行い、その結果と観測結果の一致を得ることである。しかし、地震後に複数のメカニズムで変動が起こっている場合、もっと望ましいのは、そのメカニズムの各々を別々に観測値として得ることだろう。本研究で発見したのは、地震後に起こる変動が重力としては、逆の極性でかつ異なる時間スケールで観測されることである。これは重力が地震後に地球内部で起こっている現象を区別して観測できる第一の手段である可能性を強く示している。

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1 Introduction

1.1 Space Geodesy in geoscience

Space geodesy is the discipline of the shape, size, gravity fields, rotation, and so on, of the earth, other planets, and the moon with space techniques. Geodesy with satellite started in 1957, when the first satellite “Sputnik I” was launched by the Soviet Union. Space geodesy has been applied to many disciplines in geoscience, and has contributed to their advances. For example, GPS (Global Positioning System) and SAR (Synthetic Aperture Radar) are applied to seismology, volcanology, meteorology, solar terrestrial physics, and so on. This is because observations from satellites are often superior to those on the ground in various aspects. One is the temporal continuity: satellites keep providing observation data until they stop functioning. Another aspect is that huge amount of data will eventually become available to researchers, giving all scientists chances to study using such data. One more aspect is that satellites often give two-dimensional observation data with uniform quality. This cannot be achieved by deploying many sensors on the ground. These aspects make space geodesy a very important approach in geosciences.

1.2 Satellite gravimetry

Gravity measurements in general have played and will continue to play important roles in earth sciences because they provide much information on the matters beneath the surface that we cannot see directly; the gravity fields reflect how mass is distributed there.

Satellite gravimetry started in 1958, when USA launched the satellite “Vanguard I”. Tracking of this satellite enabled us to estimate low degree/order gravity field of the earth for the first time. Satellite gravimetry can be done in several different ways. The first one is SLR (Satellite Laser Ranging), which started in late 1960s. Satellites for SLR have a lot of corner-cube-reflectors (CCR) on their surfaces. The CCRs reflect laser pulses emitted from the ground station, and people can measure the two-way travel times of the laser pulses between the ground station and the satellites. The changes in orbital elements depend on the gravity, so we can recover the gravity field model. SLR has some benefits. First of all, it is relatively easy to continue the operation of SLR satellites because they have only passive function to reflect laser pulses with CCRs (they do not need batteries). Another benefit is that SLR is a relatively old technique, and we can go back further in time.

The second type is composed of “twin” satellites, and is represented by GRACE (Gravity Recovery And Climate Experiment), launched in 2002. The gravity irregularities change not only the orbital parameters of satellites but also their velocities. Then, the relative velocity

37 between the two satellites tells us how different the gravity fields are between the two satellites.

38 GRACE has good spatial and temporal resolution. The spatial resolution of GRACE is
39 300~500 km. This is much better than that of SLR because the GRACE orbit is much lower
40 than SLR satellites. For example, LAGEOS, one of the most useful SLR satellites, has an orbit
41 as high as about 6000 km. The temporal resolution of GRACE is about one month, which is
42 better than GOCE (Gravity field and steady-state Ocean Circulation Explorer), the third type of
43 satellites to measure the gravity field with an on-board gradiometer. GOCE is called “Ferrari of
44 the satellites” because it flies the lowest orbit of the satellites (this means its speed is the
45 highest). GOCE has the best spatial resolution of the three types. Each type of satellites has its
46 benefit and has produced valuable sets of data.

47

48 *1.3 Gravity and earthquakes*

49

50 Gravity observation is considered to be the third approach to understand earthquakes. The first
51 sensor is seismometers to observe elastic (seismic) waves, and the second sensor is GNSS
52 (Global Navigation Satellite System) like GPS and SAR to observe static displacement of the
53 ground surface. Gravimetry, the third sensor, can observe the mass transportation under the
54 ground.

55 There are two kinds of gravity changes due to earthquakes: co- and postseismic gravity
56 changes (we do not discuss preseismic changes here). The mechanisms responsible for
57 coseismic gravity changes have been understood to a certain extent. The coseismic gravity
58 change occurs in two processes, i.e. (1) vertical movements of the boundaries with density
59 contrast, such as the surface and Moho, and (2) density changes in mantle and crust. They are
60 further separated into four: surface uplift/subsidence, Moho uplift/subsidence, dilatation and
61 compression within crust and mantle. For submarine earthquakes, movement of sea water also
62 plays a secondary role. These mechanisms are shown in Figure 1.1. The mechanisms of
63 postseismic gravity changes are, however, not so clear.

64 Coseismic gravity change was first detected after the 2003 Tokachi-oki earthquake (M_w 8.0),
65 Japan, by a ground array of superconducting gravimeters [*Imanishi et al.*, 2004]. The second
66 example (also the 1st example with satellite gravimetry) was coseismic gravity changes by the
67 2004 Sumatra-Andaman earthquake (M_w 9.2) detected by the GRACE satellites [*Han et al.*,
68 2006]. Satellite gravimetry enabled similar studies for the 2010 Maule (M_w 8.8) [*Heki and*
69 *Matsuo*, 2010; *Han et al.*, 2010] and the 2011 Tohoku-Oki (M_w 9.0) [*Matsuo and Heki*, 2011;
70 *Wang et al.*, 2012] earthquakes. These reports showed that coseismic gravity changes are
71 dominated by the decrease on the back arc side of the ruptured fault reflecting the density drop
72 of rocks there [*Han et al.*, 2006].

73 Postseismic gravity changes were first found for the 2004 Sumatra-Andaman earthquake
 74 [Ogawa and Heki, 2007; Chen et al., 2007]. They showed that the gravity increased after
 75 coseismic decreasing (Figure 1.2) by fitting the function (1.1) with the least-squares method.
 76 They also revealed that postseismic gravity changes show opposite polarity and slight
 77 trenchward shift, i.e. gravity increase occurred directly above the ruptured fault.

78 For the other two M_w 9-class earthquakes (2010 Maule and 2011 Tohoku), the time series of
 79 postseismic gravity changes have not been reported yet. Here we use the newly released Level-2
 80 (RL05) GRACE data, which were improved in accuracy [Dahle et al., 2012; Chambers and
 81 Bonin, 2012], and study common features in the co- and postseismic gravity changes of these
 82 megathrust earthquakes.

83 I model the gravity G as a function of time t as follows,
 84

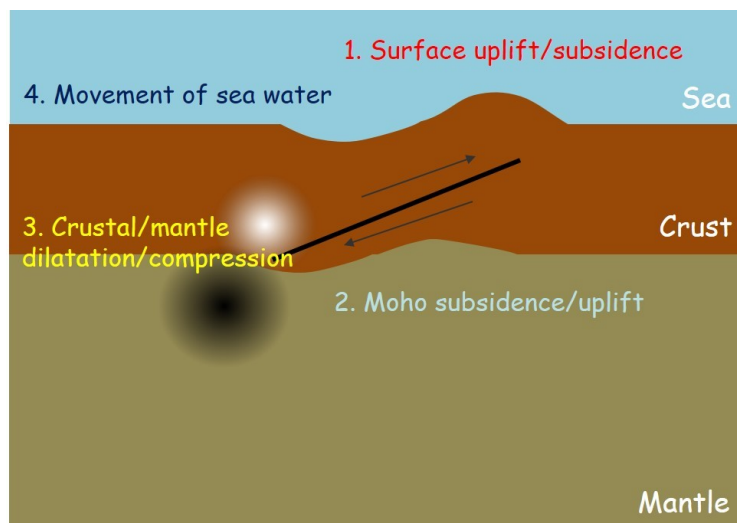
$$85 \quad G = a + bt + c \sin(\omega t + \theta_1) + d \sin(2\omega t + \theta_2) + H(t) \left\{ \Delta g + e \left(1 - \exp\left(-\frac{\Delta t}{\tau}\right) \right) \right\} \quad (1.1)$$

$$H(t) = \begin{cases} 0 & (t < t_0) \\ 1 & (t \geq t_0) \end{cases}$$

$$\Delta t = t - t_0$$

86

87 where a , b , c , d , and e are the constants to be estimated with the least-squares method, t_0 is
 88 the time when the earthquake occurred, the second term means the secular trend, the third and
 89 fourth terms correspond to the seasonal changes ($\omega \equiv 2\pi/1\text{yr}$), Δg is the coseismic gravity step,
 90 and the last term is the postseismic gravity change. $H(t)$ is the step function, and τ is the time
 91 constant.



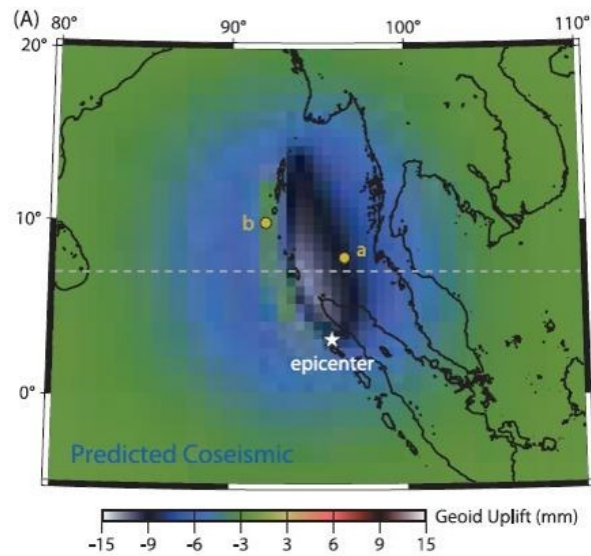
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Figure 1.1 The four major mechanisms responsible for coseismic gravity changes.

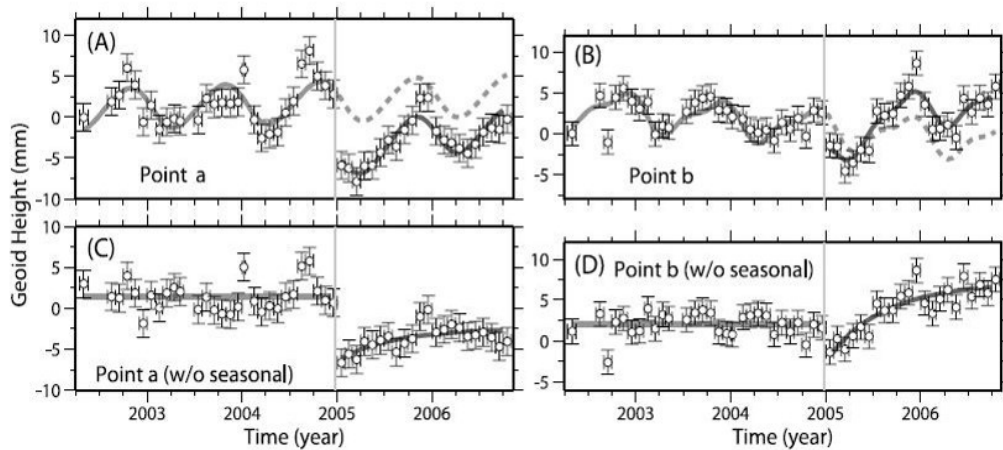
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OGAWA AND HEKI: SUMATRA EARTHQUAKE SLOW POSTSEISMIC RECOVERY



97

98 **Figure 1.2** The postseismic geoid height changes of the 2004 Sumatra-Andaman earthquake
99 shown by *Ogawa and Heki* [2007]. The geoid height decreased when the earthquake occurred
100 and increased slowly afterwards.

101

102

103 2 Data and Methods

104 2.1 GRACE data

105

106 GRACE data can be downloaded from <http://podaac.jpl.nasa.gov/> (PO.DAAC: Physical
107 Oceanography Distributed Active Archive Center) or <http://isdc.gfz-potsdam.de/> (ISDC:

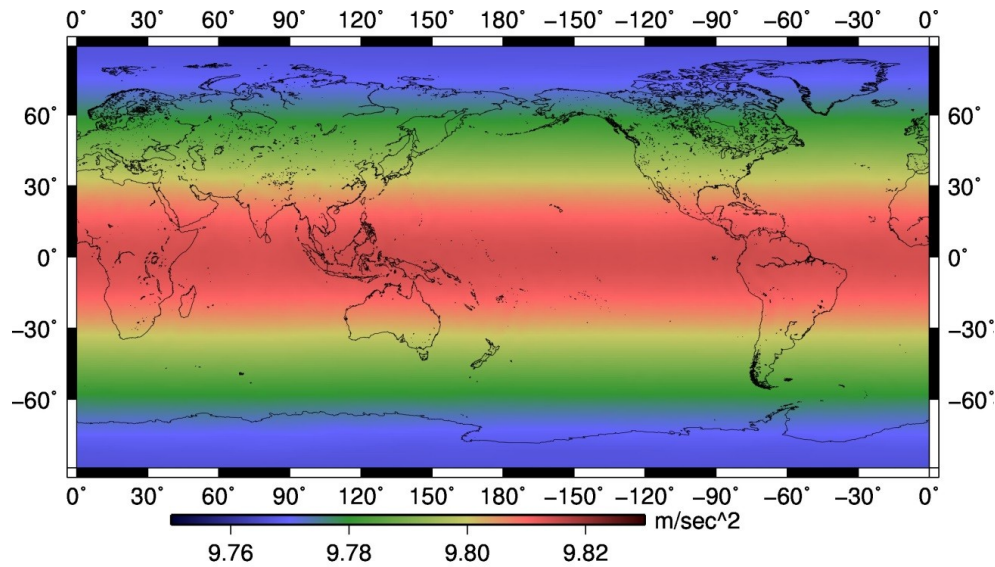
108 Information Systems and Data Center). These data are provided by the three research centers, i.e.
 109 UTCSR (University of Texas, Center for Space Research), JPL (Jet Propulsion Laboratory), and
 110 GFZ (GeoForschungsZentrum, Potsdam). UTCSR and JPL are in USA, and GFZ is in Germany.
 111 These three institutions analyze data based on somewhat different approaches so the data sets
 112 differ slightly from center to center.

113 There are three levels of GRACE data available to the users: Level-1B, Level-2, and Level-3.
 114 Level-1B gives the data of the ranges (distances) between the twin satellites together with their
 115 changing rates, and it takes some expertise in technical details to use them. Level-2 data are
 116 provided as spherical harmonic coefficients, and we need only certain mathematical knowledge
 117 to use them. Level-3 data are composed of space domain gravity data after being filtered in
 118 several ways. Because it takes neither technical nor mathematical knowledge to use them,
 119 Level-3 is the most friendly to users. However, Level-3 data do not give us much information
 120 because many filters have already been applied. In this study, Level-2 data analyzed at UTCSR
 121 are used.

122 Level-2 data are composed of spherical harmonic coefficients (Stokes' coefficients). They
 123 coefficients can be converted to the static gravity field $g(\theta, \phi)$ of the earth by the equation (2.1)
 124 [Kaula, 1966; Heiskanen and Moritz, 1967].

$$g(\theta, \varphi) = \frac{GM}{R^2} \sum_{n=2}^{nmax} (n-1) \sum_{m=0}^n (C_{nm} \cos m\varphi + S_{nm} \sin m\varphi) \overline{P}_{nm}(\sin \theta) \quad (2.1)$$

125 Where G is the universal gravity constant, M is the mass of the earth, R is the equatorial radius,
 126 $\overline{P}_{nm}(\sin \theta)$ is the n -th degree and m -th order fully-normalized associated Legendre function. An
 127 example of the static gravity field of the earth is shown in the figure 2.1.
 128



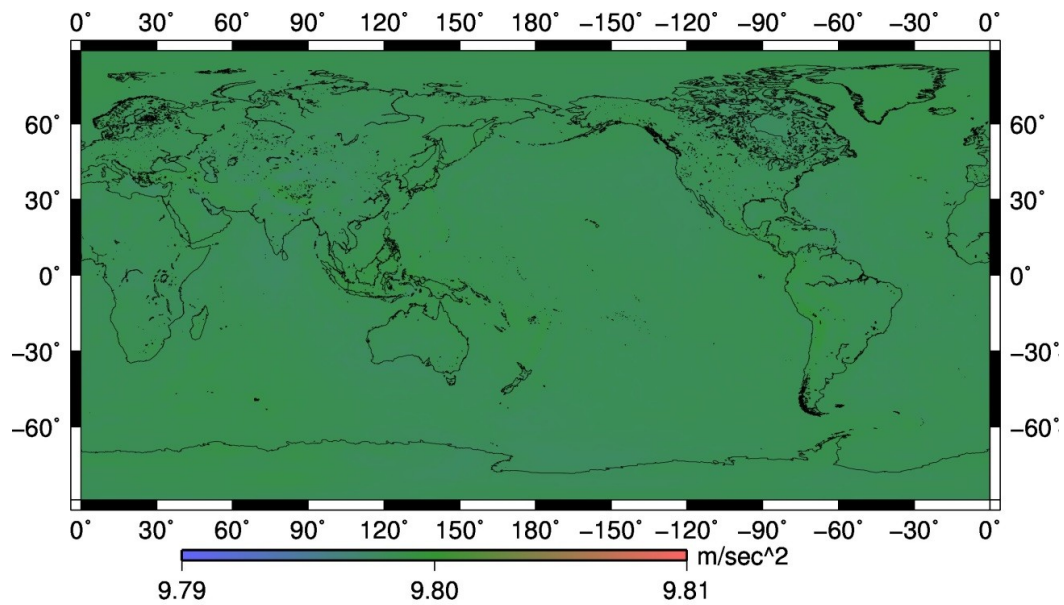
Gravity

129

130 **Figure 2.1** The map of the static gravity field of the earth in November 2013 calculated from
 131 Level-2 GRACE data. Degrees and orders of spherical harmonic coefficients are up to 60.

132

133 Figure 2.1 shows the mean of the gravity is about 9.8 m/s^2 and the gravity on lower latitude is
 134 stronger than that on higher. But this is contradictory to the fact that the gravity on lower
 135 latitude is weaker because the centrifugal force of the rotation of the earth works. The reason of
 136 this contradiction is that the gravity fields measured by satellites do not include centrifugal
 137 forces and gravitational pull of the equatorial bulge is isolated. Because the C_{20} term
 138 predominates in the earth's gravity fields, I removed it and plot the rest of the gravity
 139 components in figure 2.2. When we discuss time-variable gravity, we use C_{20} from SLR
 140 observations because C_{20} values by GRACE are less accurate.



Gravity

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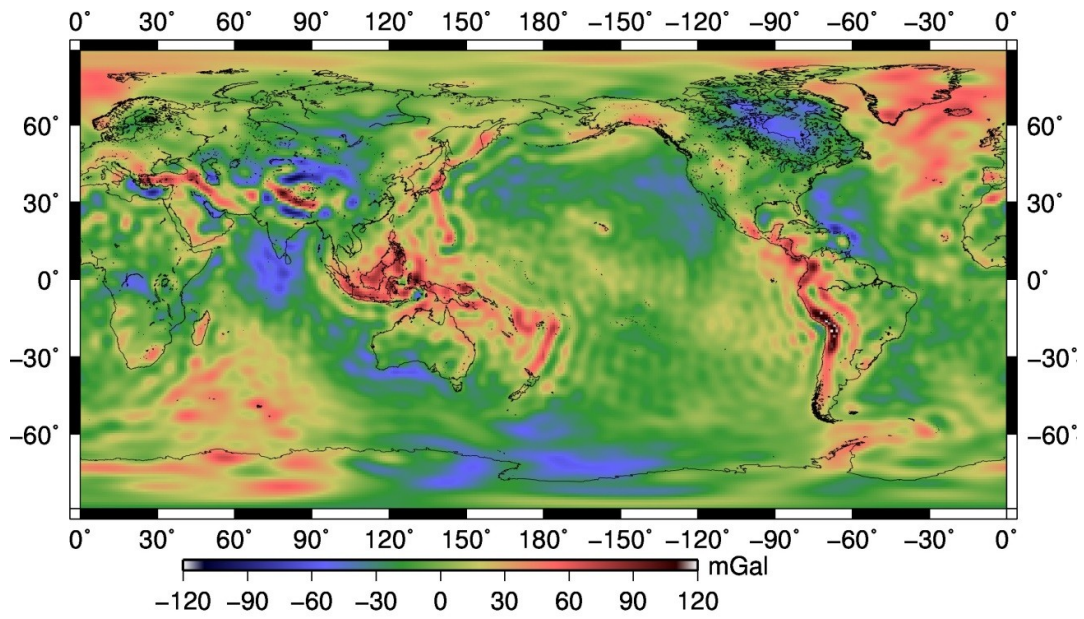
142 **Figure 2.2** The map of the static gravity field of the earth in November 2013 calculated from
 143 Level-2 GRACE data after removing the C_{20} component.

144

145 Figure 2.2 shows that the gravity anomaly is so small that gravity is uniformly 9.8 m/s^2
 146 throughout the surface. In order to highlight the gravity anomalies, we should use the unit of
 147 mGal ($1\text{Gal} = 1\text{cm/s}^2$) and should also make C_{00} zero because it gives the mean value of the
 148 gravity field. Figure 2.3 and figure 2.4 show the gravity anomaly with the unit mGal.

149

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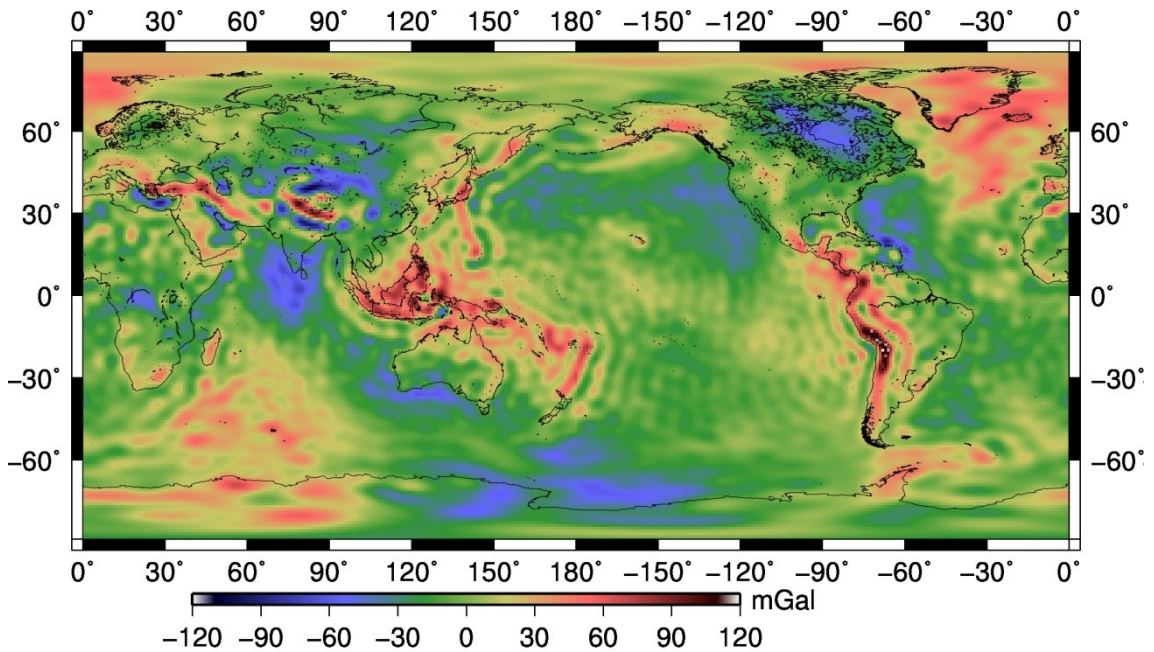
Gravity anomaly

151

152 **Figure 2.3** The map of the static gravity anomaly of the earth in November 2013 calculated
 153 from Level-2 GRACE data. I removed the C_{20} and C_{00} components.

154

155



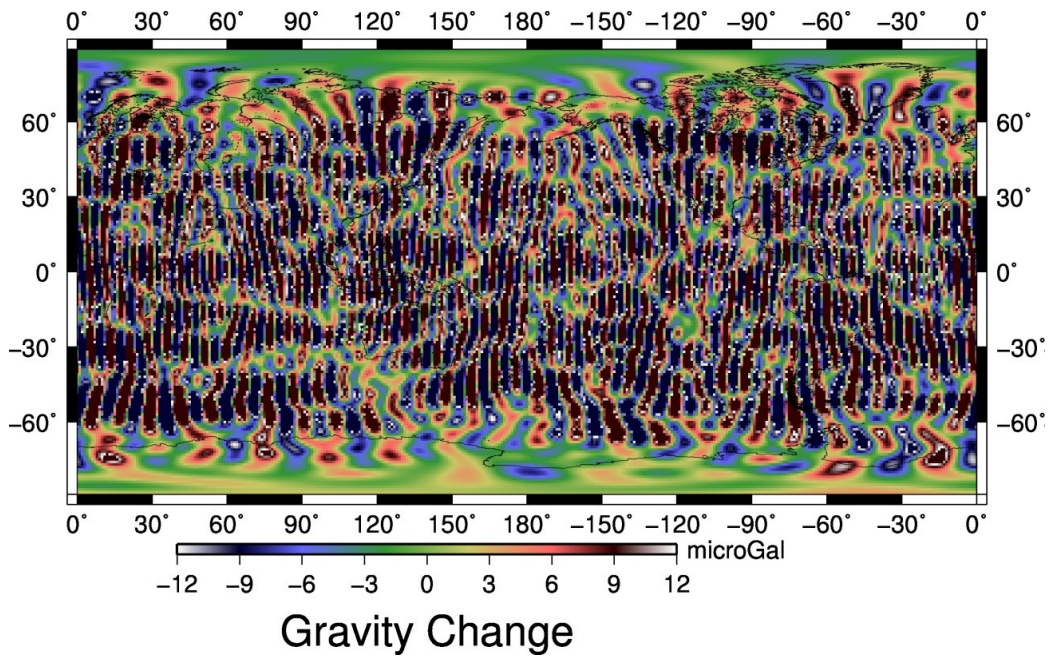
Gravity Anomaly

156

157 **Figure 2.4** The map of the static gravity anomaly of the earth in October 2013 calculated from
 158 Level-2 GRACE data. I removed the C_{20} and C_{00} components. This looks almost identical to
 159 figure 2.3.

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Figures 2.3 and 2.4 show the gravity anomaly in November and October, respectively. They represent different time epochs, but they look alike because the temporal changes of the gravity fields are small. In order to study time-variable gravity, we have to use the unit of μGal . Figure 2.5 shows the difference of the gravity fields in November 2013 from October 2013.



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Figure 2.5 The gravity fields in November relative to those in October 2013.

172 Figure 2.5 shows the strong north-south stripes. These stripes appear because GRACE data
173 are noisy in short-wavelength components; GRACE satellites orbit the earth in a polar circular
174 orbit at the altitude of about 500 km, taking about 90 minutes per one cycle (they experience
175 about 550 revolutions every month). This suggests that we have to take certain means to analyze
176 (e.g. applying special filters) time variable gravity with the GRACE data.

177 One way to avoid these stripes is to use northward components rather than the downward
178 component of the gravity field. The north components do not show the stripes because the
179 GRACE satellites move in the north-south direction. We can calculate this by differentiating the
180 gravity potential with respect to the latitude. Figure 2.6 shows the distribution of the northward
181 component of the gravity changes between October and November, 2013.

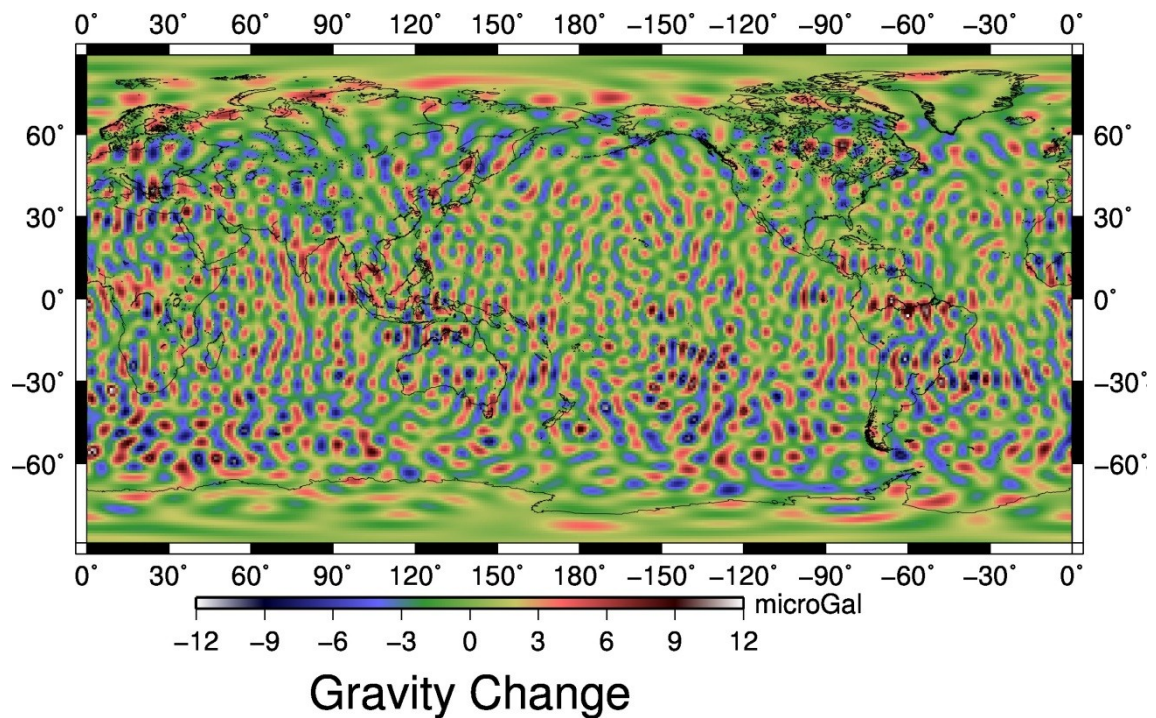


Figure 2.6 The northward component of the gravity changes from October to November in 2013. Strong north-south stripes in figure 2.5 have disappeared.

The northward gravity changes observed with GRACE satellites are shown in figure 2.6. They are largely free from strong stripes although short wavelength noises still remain. After all, we have to apply additional filters to GRACE data.

2.2 Spatial filters

2.2.1 De-stripping filter

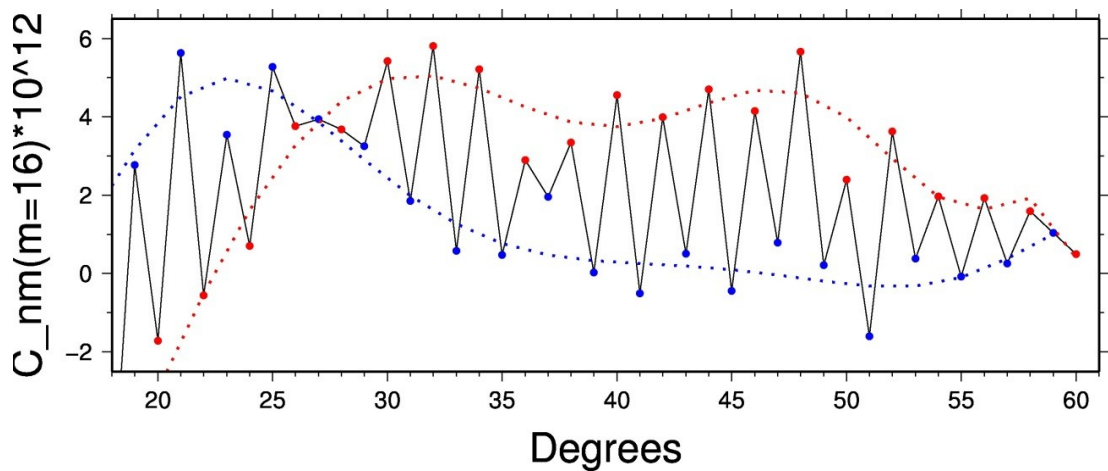
The filter to remove stripes is called de-stripping filter proposed by *Swenson and Wahr* [2006]. They found that the stripes come from the highly systematic behavior of the Stokes' coefficients in the GRACE data. The Stokes' coefficients of C_{n16} are shown in figure 2.7 as an example. There the red points (the evens of coefficients) are always bigger than blue points (odds) when n is larger than 30 and black line connecting them goes zigzag strongly. *Swenson and Wahr* [2006] considered that this is responsible for the stripes, and tried to suppress the stripes by getting rid of this systematic behavior. To do that, two polynomial functions were fitted with the least-squares method to each evens and odds of coefficients separately, and residuals between the values of original data and the fitted polynomial were taken as the new "de-stripped"

204 coefficients. Figure 2.8 shows the gravity change calculated with the de-striped coefficients.
 205 This de-striping filter is called as P5M10, which means that polynomials of degree 5 were fitted
 206 to the coefficients of degrees and orders 10 or more.

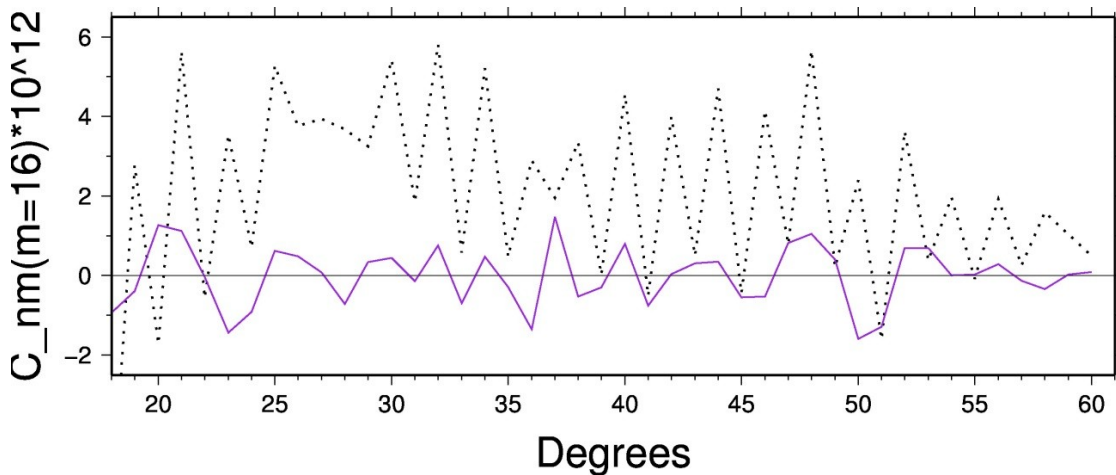
207 In this section, the gravity changes were calculated at first and then the de-striping filter was
 208 given because this order makes sense to understand the de-striping filter. Practically, the
 209 de-striping filter is applied to the data at first, and then the gravity changes are calculated to
 210 obtain the time series.

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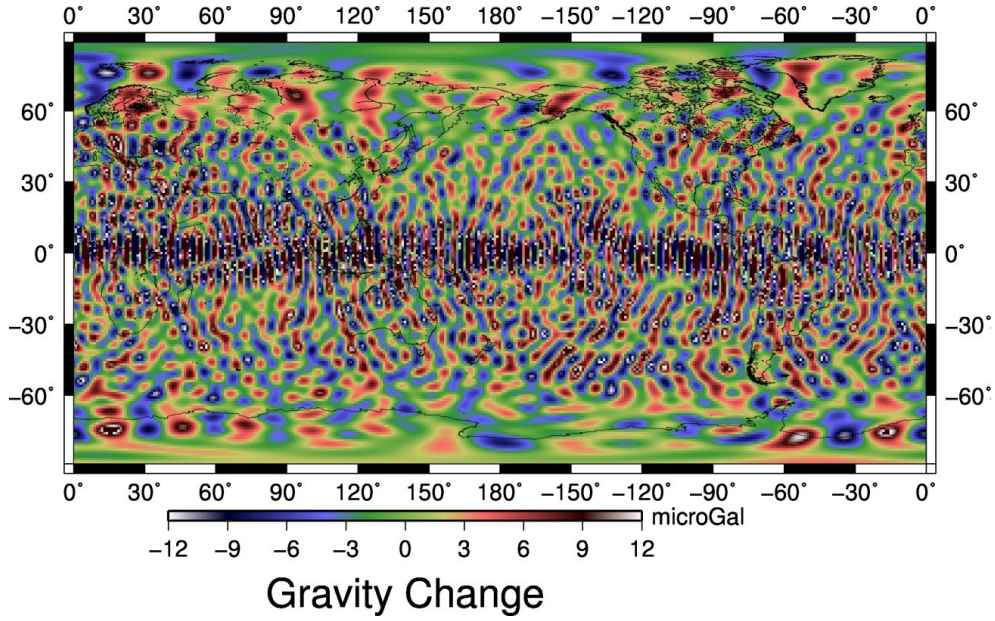


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215 **Figure 2.7** This figure gives conceptual explanation of the de-striping filter. (above) The solid
 216 black line indicate the Stokes' coefficients of order 16, i.e. ΔC_{n16} (C_{n16} in November 2013 – C_{n16}
 217 in October 2013) as a function of degree n . The red points denote the values of coefficients with
 218 even n and blue points denote those with odd n . The broken lines are the curves fitted to each
 219 color's data with polynomial degrees = 10. (below) The broken black line is the same line of the
 220 solid black line above. The purple line shows the difference between the black line and the fitted
 221 polynomial curves. The horizontal straight line means zero.



222
223

224 **Figure 2.8** The gravity change in from October to November 2013 calculated with the
225 “de-striped” coefficients.

226

227 Figure 2.8 shows that the de-striping filter effectively suppressed longitudinal stripes to a
228 certain extent. However, it is not sufficient, and so the coefficients need to be further filtered as
229 described in the next section (even the northward component data have to be filtered in the same
230 way).

231

232 2.2.2 Fan filter

233

234 The best filter to make the spatial distribution of gravity change smooth is the two-dimensional
235 Gaussian filter, called Fan filter [Wahr *et al.*, 1998; Zhang *et al.*, 2009]. The definition of this
236 filter and how to apply it to the coefficients are shown with equations (2.2) ~ (2.6).

237

$$\Delta g(\theta, \varphi) = \frac{GM}{R^2} \sum_{m=2}^{n_{max}} (n-1)W_n \sum_{m=0}^n W_m (\Delta C_{nm} \cos m\varphi + \Delta S_{nm} \sin m\varphi) \overline{P_{nm}}(\sin \theta) \quad (2.2)$$

238

239

$$W_0 = 1 \quad (2.3)$$

240

$$W_1 = \frac{1+e^{-2b}}{1-e^{-2b}} - \frac{1}{b} \quad (2.4)$$

241

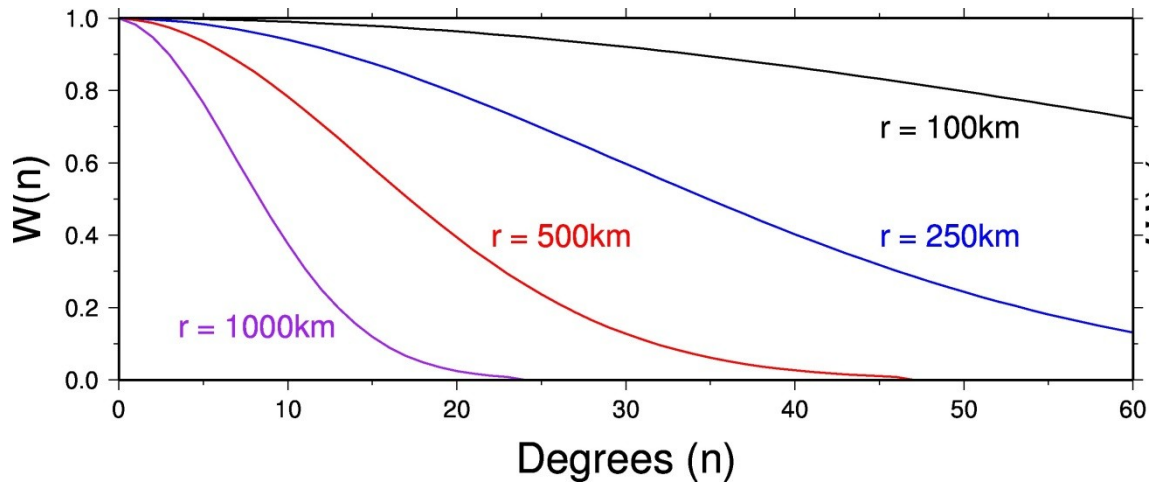
$$W_{n+2} = -\frac{2n+1}{b} W_{n+1} + W_n \quad (2.5)$$

242

$$b = \frac{\ln(2)}{(1 - \cos \frac{r}{R})} \quad (2.6)$$

243 where W_n and W_m are the weighting function with Gaussian distribution at degree n and m ,
244 and r is the averaging radius. Weights with different r are shown in figure 2.9.

245



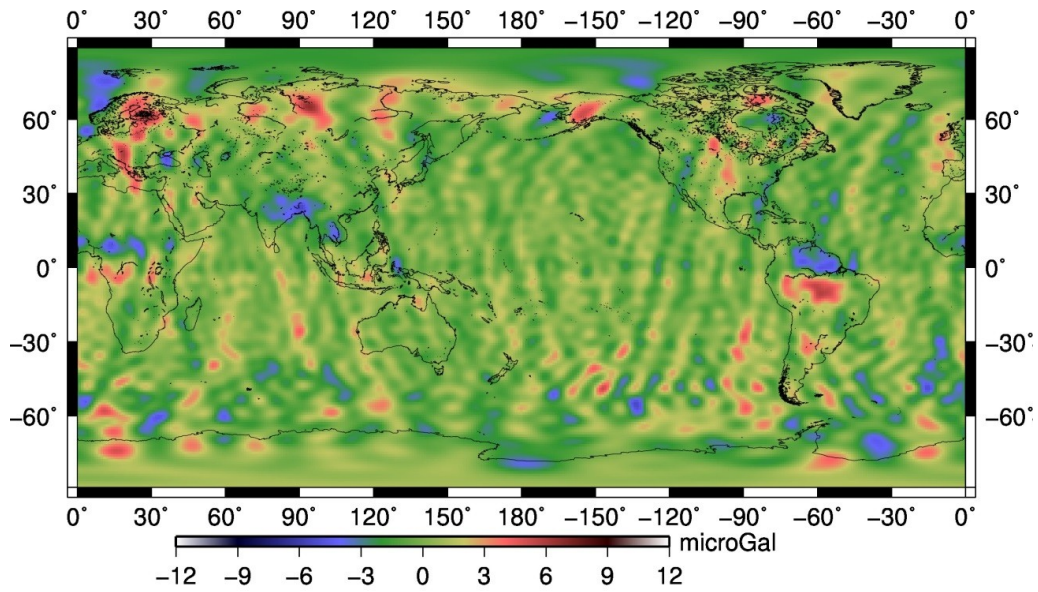
246

247 **Figure 2.9** The values of $W(n)$ as a function of degree n for the different values of r , i.e. 100 km,
248 250 km, 500 km, and 1000 km. For larger degrees, the weight becomes smaller.

249

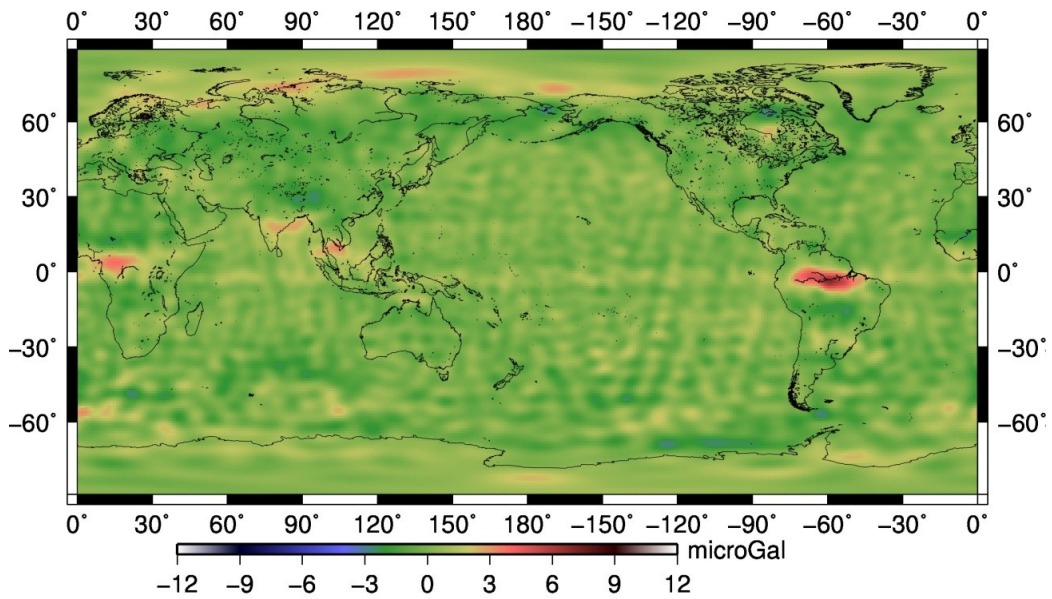
250 Figure 2.9 shows that the fan filter gives smaller weights to coefficients of higher degree and
251 order. That is why the shortwave noises are reduced by this filter. The gravity changes from
252 October to November 2013 calculated with GRACE data after the de-stripping filter and the fan
253 filter are shown in Figure 2.10.

254



Gravity Change

255



Gravity Change

256

257 **Figure 2.10** The gravity changes from October to November 2013. (above) The downward
 258 components of gravity change calculated from GRACE data with both de-stripping (P3M15) and
 259 Fan filter ($r = 250\text{km}$). (below) The northward components of gravity change calculated from
 260 GRACE data with Fan filter ($r = 250\text{km}$).

261

262

263 2.3 GLDAS model

264

265 In this study, GLDAS Noah model [Rodell *et al.*, 2004] is used to remove the contribution of
 266 land hydrology to gravity. GLDAS model is made from the observed data of precipitation,
 267 temperature, and so on, and given as monthly values at 1×1 degree grid points, except for
 268 Antarctica and Greenland. The data give the amount of water (kg/m^2) there, so it has to be
 269 changed into spherical harmonic coefficients and into those of gravity by formulations given in
 270 Wahr *et al.* [1998]. They are filtered in the same way to de-stripe and reduce short-wavelength
 271 noises as for the GRACE data. Before converting to spherical harmonic coefficients, grid values
 272 in Greenland/Antarctica were set to zero.

273

274 2.4 Time series analysis

275

276 The function (2.7) is fitted to the GRACE data with the least-squares method to estimate the
 277 postseismic gravity changes and the function (2.8) is used to get the time series of gravity
 278 deviations by eliminating components not related to earthquakes.

279

$$G = a + bt + c \sin(\omega t + \theta_1) + d \sin(2\omega t + \theta_2) + H(t) \left\{ \Delta g + \sum_i e_i \times f_i(\Delta t) \right\} \quad (2.7)$$

280

$$\hat{G} = G - \{ bt + c \sin(\omega t + \theta_1) + d \sin(2\omega t + \theta_2) \} \quad (2.8)$$

281

282
 283 There $f_i(\Delta t)$ are certain functions to be fitted to the time-decaying components after the
 284 earthquakes and the others in (2.7) are the same as (1.1). \hat{G} is the gravity changes obtained by
 285 removing the secular and seasonal components. We will discuss what kind of $f_i(\Delta t)$ best
 286 models the postseismic gravity changes in the chapter of results and discussion.

287

288 2.5 Model calculation

289

290 The software package by Sun *et al.* [2009] is used to calculate coseismic gravity changes
 291 together with fault parameters shown in Banerjee *et al.*, [2005] for the 2004 Sumatra-Andaman
 292 earthquake, Heki and Matsuo [2010] for the 2010 Chile (Maule) earthquake, and Matsuo and
 293 Heki [2011] for the 2011 Tohoku-oki earthquake.

294 The contribution of sea water to gravity also has to be added because Sun *et al.* [2009] gives the
 295 amount of gravity changes on “dry” earth, which has no water on it. The earthquakes give the
 296 surface of the earth deformation and it makes the sea water move, so the observed gravity
 297 changes have contributions of both dry earth and sea water. The correction is simply achieved
 298 by assuming the gravity field made by thin sea water layer as deep as the vertical crustal

299 movements.

300

301

302 **3 Results and discussion**

303 *3.1 Re-analysis of postseismic gravity changes of 2004 Sumatra-Andaman earthquake.*

304

305 We re-analyzed the postseismic gravity changes of 2004 Sumatra-Andaman earthquake with
306 newer data (Release 05) than those used in Ogawa and Heki [2007] (Release 02) with the
307 function (1.1), and found that the gravity had decreased for a few months after the earthquake
308 and increased slowly. This cannot be found from the function (1.1) because the component of
309 the function (1.1) for postseismic gravity changes is only one exponential, which is used for
310 long-term increasing (the red curve in figure 3.1). Then, we gave one more exponential to the
311 function (function (3.1)), and fitted it to both the short- and long-term postseismic gravity
312 changes (the blue curve in figure 3.1). This discovery got us wondering how about gravity
313 changes of other earthquakes and two-dimensional distribution of postseismic gravity changes,
314 so we analyzed the time series of gravity changes of the 2004 Sumatra-Andaman earthquake,
315 2010 Chile (Maule) earthquake, and 2011 Tohoku-oki earthquake.

316

317

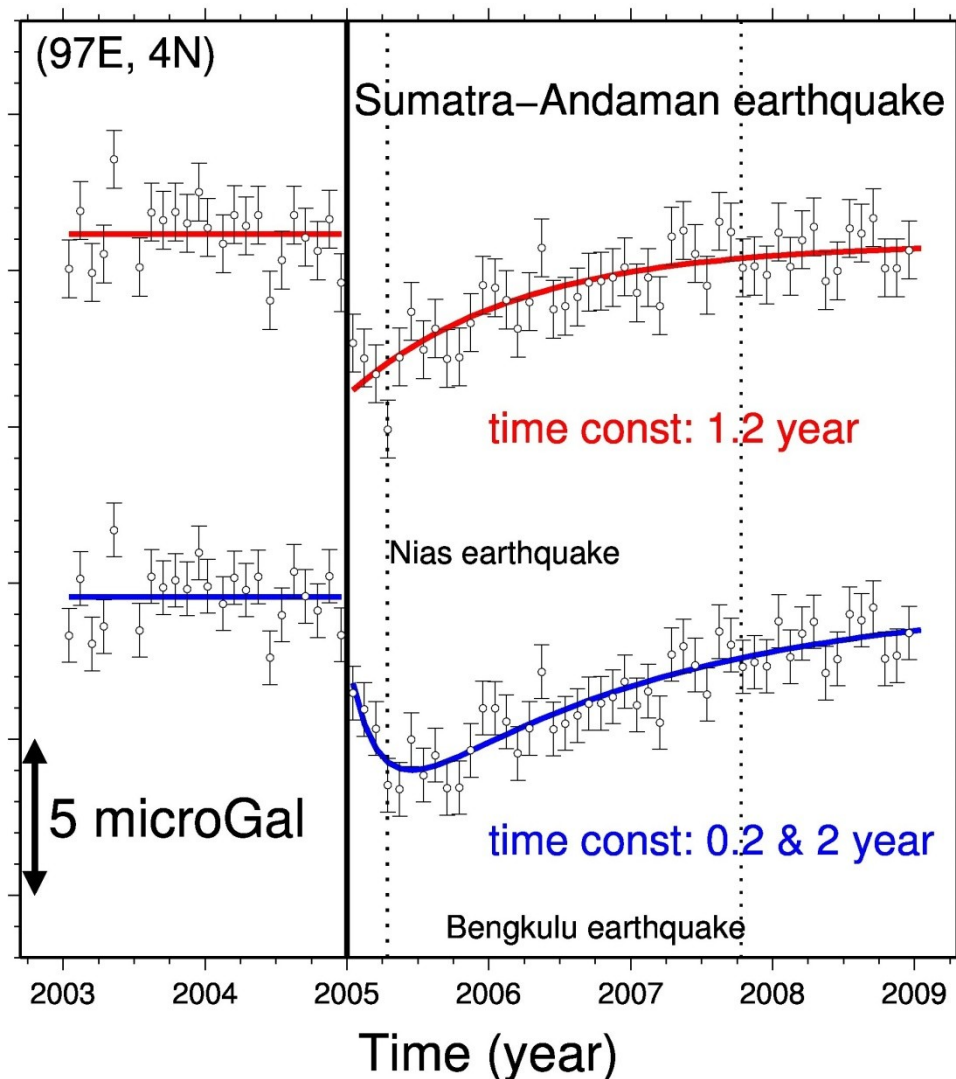
$$G = a + bt + c \sin(\omega t + \theta_1) + d \sin(2\omega t + \theta_2)$$

318

$$+H(t) \left\{ \Delta g + e_1 \left(1 - \exp\left(\frac{\Delta t}{\tau_1}\right) \right) + e_2 \left(1 - \exp\left(\frac{\Delta t}{\tau_2}\right) \right) \right\} \quad (3.1)$$

319

320



321

322 **Figure 3.1** Time series of gravity changes before and after the 2004 Sumatra-Andaman
 323 earthquake at 4N97E (shown in figure 3.2) fitted with two different models. The white circles
 324 are the time series after removing seasonal and secular gravity changes and the steps at the 2005
 325 Nias earthquake and 2007 Bengkulu earthquake. The vertical lines indicate the earthquake
 326 occurrences. The red and blue curves are fitted with postseismic change with one component
 327 ($\tau=1.2$ year) and with two components ($\tau_1=0.2$ year and $\tau_2=2$ year), respectively. The gravity
 328 decrease immediately after the earthquake is well modeled only with the blue curve.

329

330

331 *3.2 Co- and postseismic gravity changes of three Mw9-class earthquakes*

332 *3.2.1 Downward gravity changes (Observed and calculated)*

333 *3.2.1.1 Coseismic gravity changes*

334

335 In Figure 3.2 we compare the distributions of coseismic, and short- and long-term postseismic
336 gravity changes of the three megathrust events. The signal-to-noise ratio is not good especially
337 for the Maule earthquake due to the relatively small magnitude and large land hydrological
338 signals. In fact, this area is known to have experienced a drought in 2010. The removal of
339 hydrological signals by GLDAS does not work well enough in this region (Figure 3.3) due
340 possibly to insufficient meteorological observations to be input to the GLDAS models.
341 Nevertheless, characteristic gravity signals are seen near the epicenter.

342 Figure 3.2 (a-1, b-1, and c-1) shows that the coseismic signatures of the three cases are
343 dominated by gravity decreases on the back arc side of the fault with smaller increases on the
344 fore arc side. The latter are often attenuated by the existence of seawater [Heki and Matsuo,
345 2010]. Such coseismic changes are well understood with the theory discussed in section 1.3.
346 The signature of the latter after spatial filtering, and appears as the gravity decrease on the back
347 arc side of the arc [Han et al., 2006].

348 The results of model simulation are shown in Figure 3.5 ~ 3.7, calculated with the method in
349 the section 2.5. Each of them has difference between the result of observation and that of
350 calculation but the gravity changes are observed well to some extent; our results are pragmatic.

351

352 3.2.1.2 Postseismic gravity changes

353

354 The middle column of Figure 3.2 suggests that the short-term postseismic gravity changes also
355 show negative polarities, although their centers seem to shift from back-arc regions toward
356 trenches. On the other hand, the long-term postseismic gravity changes (the right column of
357 Fig.3.2) have positive polarities and occur directly above the ruptured fault. These features are
358 common in the three earthquakes.

359 The elastic response to the afterslip should occur as the continuation of the coseismic gravity
360 changes. The distribution of the postseismic gravity changes by the afterslip of the 2011
361 Tohoku-oki earthquake is shown in Figure 3.8, which was calculated with the software of Sun et
362 al. [2009] from the afterslip distribution shown in Figure 3.9 calculated from GPS data. They
363 are both dominated with negative changes. However, the trenchward shift of the center exists,
364 and this cannot be explained simply by the slip distribution difference (center of afterslip is
365 shifted down-dip from that of the main shock [Ozawa et al., 2012]). In addition to that, the time
366 constant of the short-term postseismic gravity change of the 2011 Tohoku-oki earthquake (0.1
367 year) is different from the afterslip (0.4 year in Ozawa et al. [2012], but the mathematical model
368 is different from ours).

369 The long-term postseismic gravity changes may reflect multiple processes except for afterslip.
370 So far, several mechanisms have been proposed for the postseismic gravity changes, e.g. viscous

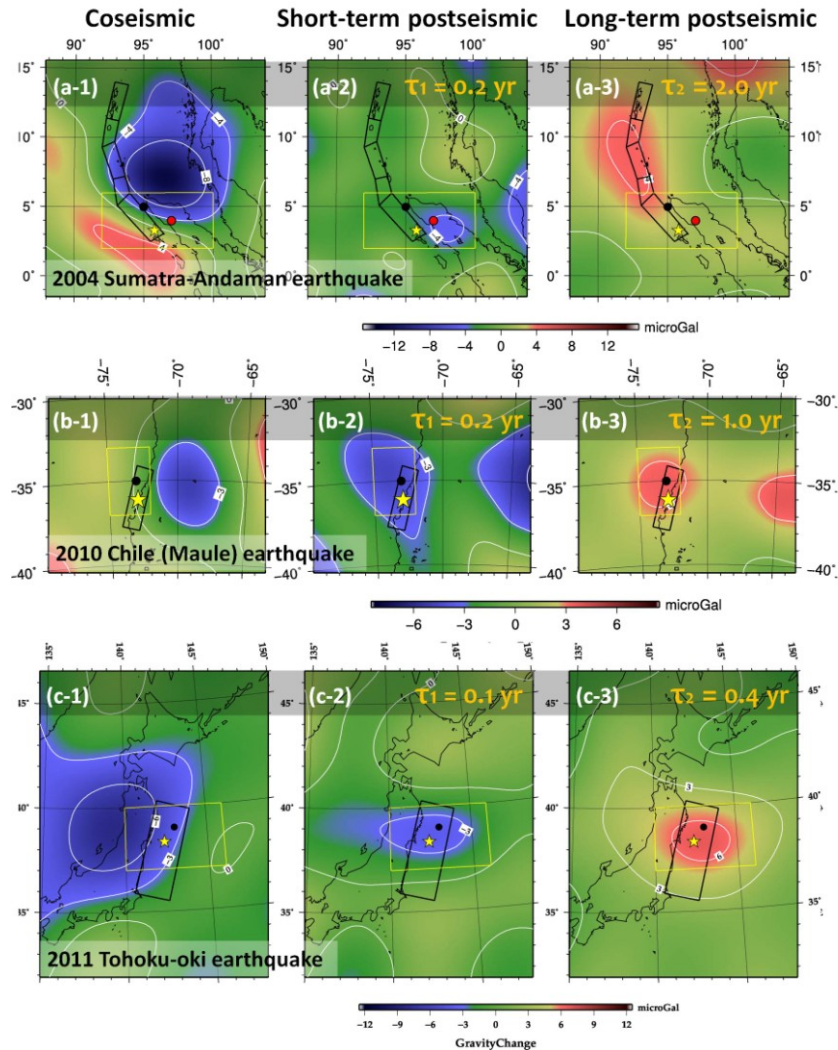
371 relaxation of rocks in the upper mantle [*Han and Simons*, 2008; *Panet et al.*, 2007; *Tanaka et al.*,
372 2006; *Tanaka et al.*, 2007], diffusion of supercritical water around the down-dip end of the
373 ruptured fault [*Ogawa and Heki*, 2007].

374 The viscoelastic mantle relaxation can play the main role of long-term postseismic gravity
375 change. Figure 3.10 shows the postseismic gravity changes for two years from observation and
376 from calculation on viscoelastic postseismic deformation with the method of Tanaka et al.
377 [2006] and Tanaka et al. [2007]. This figure suggests that the mantle relaxation has the strong
378 possibility to explain postseismic gravity changes. However, this does not disprove other
379 possibilities and also has a problem that the viscoelastic relaxation takes a long time (10 years
380 or more generally) because of the big viscosity of rocks in mantle. The averaging viscosity in
381 the upper mantle at ~100km is more than 10^{20} (Pa sec) [*Fei et al.*, 2013] and the calculation
382 results take 3×10^{18} (Pa sec). This small viscosity has to be taken to explain the long-term
383 postseismic gravity changes with the viscoelastic mantle relaxation. Even if the mantle under
384 the faults of 2004 Sumatra-Andaman earthquakes are much softer than the average, the
385 long-term postseismic gravity changes of 2010 Chile (Maule) earthquake and 2011 Tohoku-oki
386 earthquake take only a few months to get increased. It is not very natural that all of the
387 viscosities of the rocks under the faults of the three megathrust earthquakes are much lower than
388 average. Viscoelastic mantle relaxation has strong possibility that it plays an important role of
389 long-term postseismic gravity changes but it cannot explain them completely.

390 The diffusion of supercritical water around the down-dip end of the ruptured fault can explain
391 the postseismic gravity increase in this timescale to some extent, but there have been no
392 decisive evidence to prove or disprove it. And there is another problem: both of viscoelastic
393 relaxation and diffusion of supercritical water do not explain the distribution of the changes, i.e.
394 they occur directly above the rupture area.

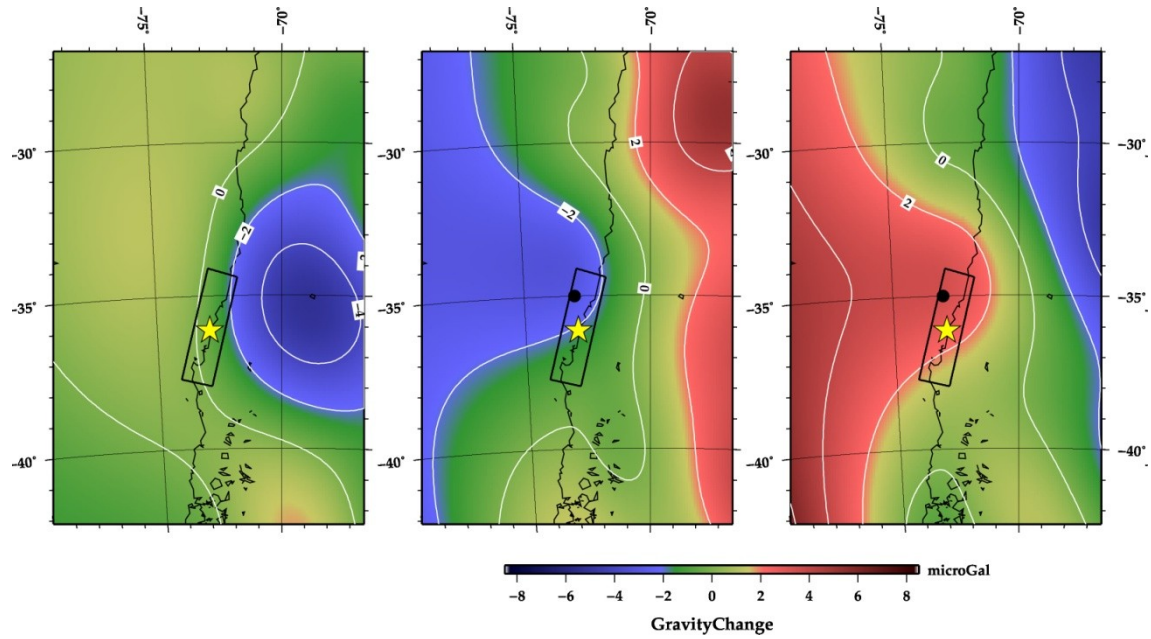
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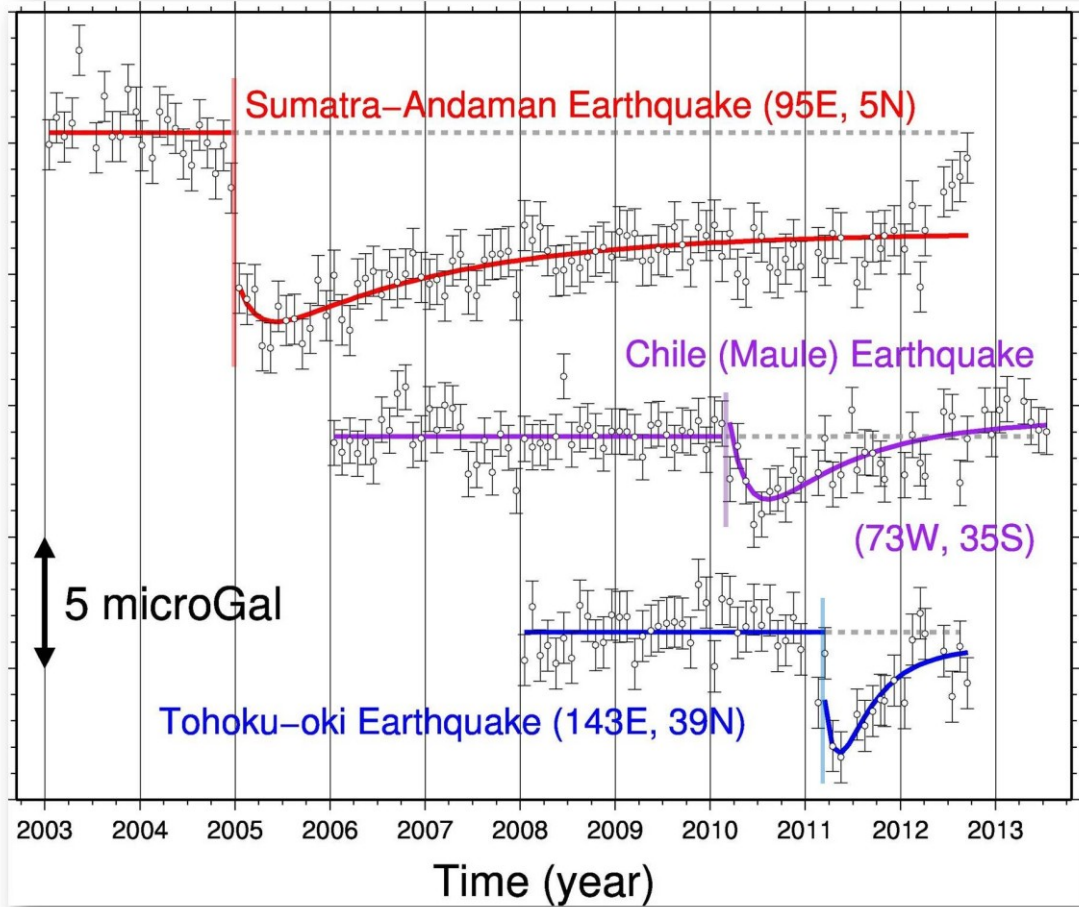
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398 **Figure 3.2** Coseismic (left), and short-term (middle) and long-term (right) postseismic gravity
 399 changes of the three M9 class earthquakes, i.e. the 2004 Sumatra-Andaman (a), the 2010 Maule
 400 (b), and the 2011 Tohoku-Oki (c) earthquakes. The postseismic gravity changes are expressed
 401 with 2 year (the 2004 Sumatra-Andaman) and 1 year (the other two earthquakes) cumulative
 402 changes. Time constants are shown on the figure. The yellow stars and black squares show the
 403 epicenters and the approximate outlines of the faults that slipped in the earthquakes. The red
 404 circles in (a) and the black circles in in (a), (b), and (c) show the points whose gravity time
 405 series are shown in Figure 3.1 (red circles) and in Figure 3.4 (black circles). The yellow squares
 406 show the areas whose data are used for F-test in section 3.2.2. The contour intervals in (a), (b),
 407 and (c) are 4 μGal , 3 μGal , and 3 μGal , respectively. The gravity show coseismic decreases,
 408 then keep decreasing for a few months (short-term postseismic). It then increases slowly
 409 (long-term postseismic) with slightly different spatial distribution from the other two
 410 components.



411

412 **Figure 3.3** Co- (left) and postseismic (middle and right) gravity changes calculated with
 413 GRACE data and GLDAS model. GLDAS model gives noises to short- and long-term (middle
 414 and right) gravity changes.

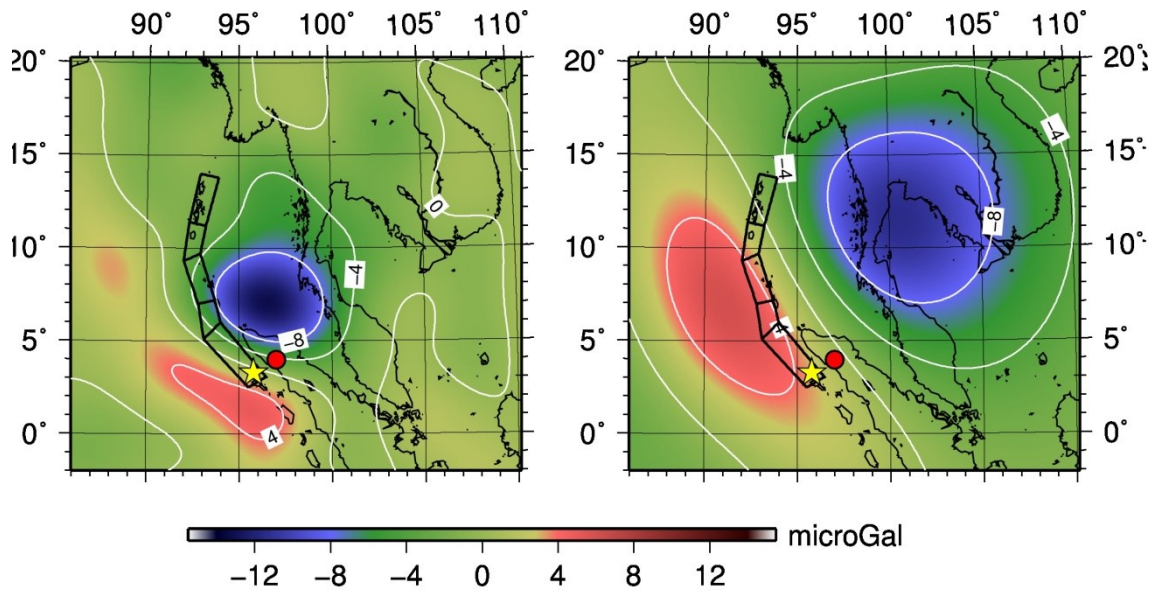


415

416 **Figure 3.4** Time series of gravity changes before and after the three megathrust earthquakes at
 417 the black circles shown in Figure 3.2. The white circles are the data whose seasonal and secular
 418 changes were removed. The vertical translucent lines denote the earthquake occurrence times.
 419 All the three earthquakes suggest the existence of two postseismic gravity change components
 420 with two distinct time constants.

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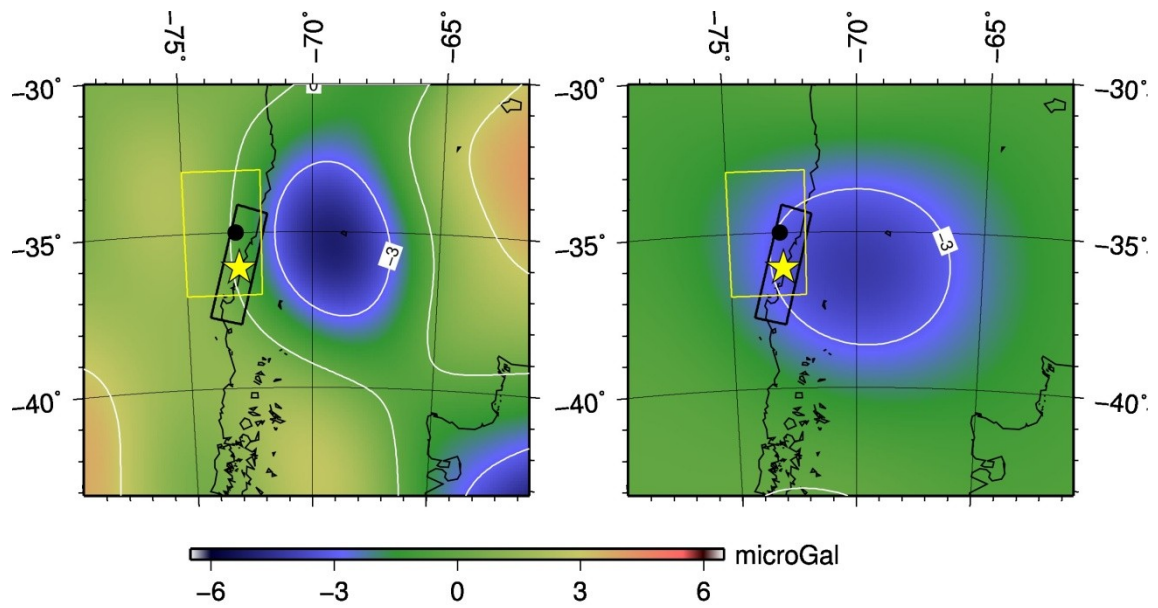
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Gravity Change

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Figure 3.5 The distribution of observed coseismic gravity change of 2004 Sumatra-Andaman earthquake (left) and that of calculated with the software of Sun et al. [2009] and the fault model of Banerjee et al. [2005] (right) as section 2.5. The amount of gravity changes are near each other but the spatial pattern is completely different. This may be because the fault model is not so good to explain the coseismic gravity change.

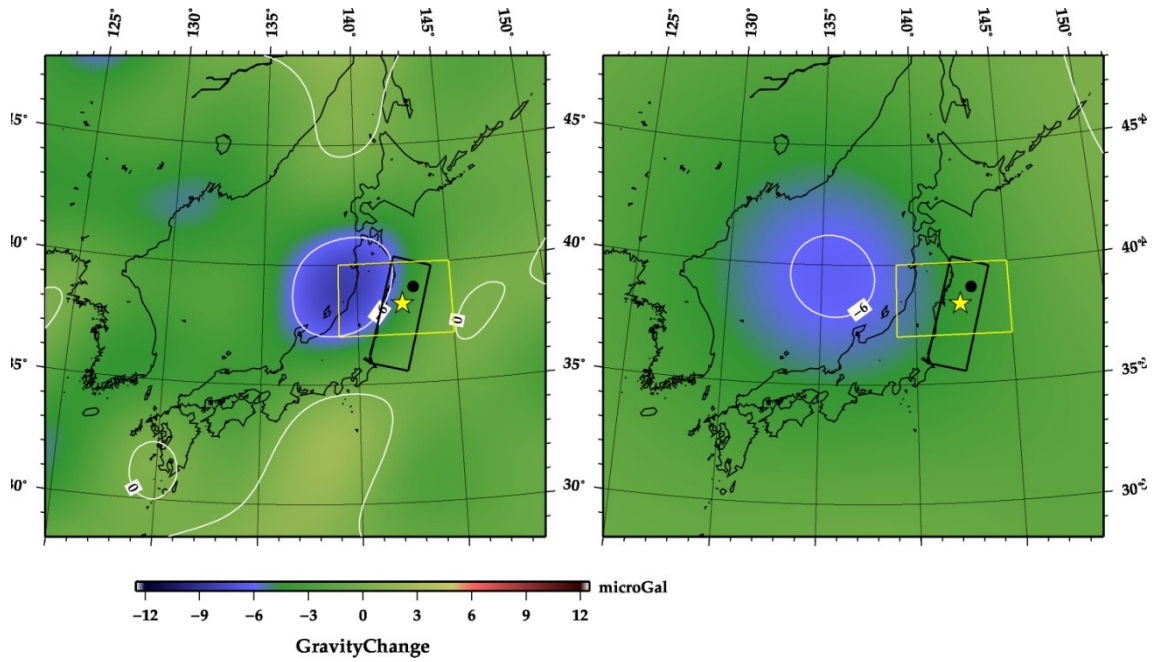


Gravity Change

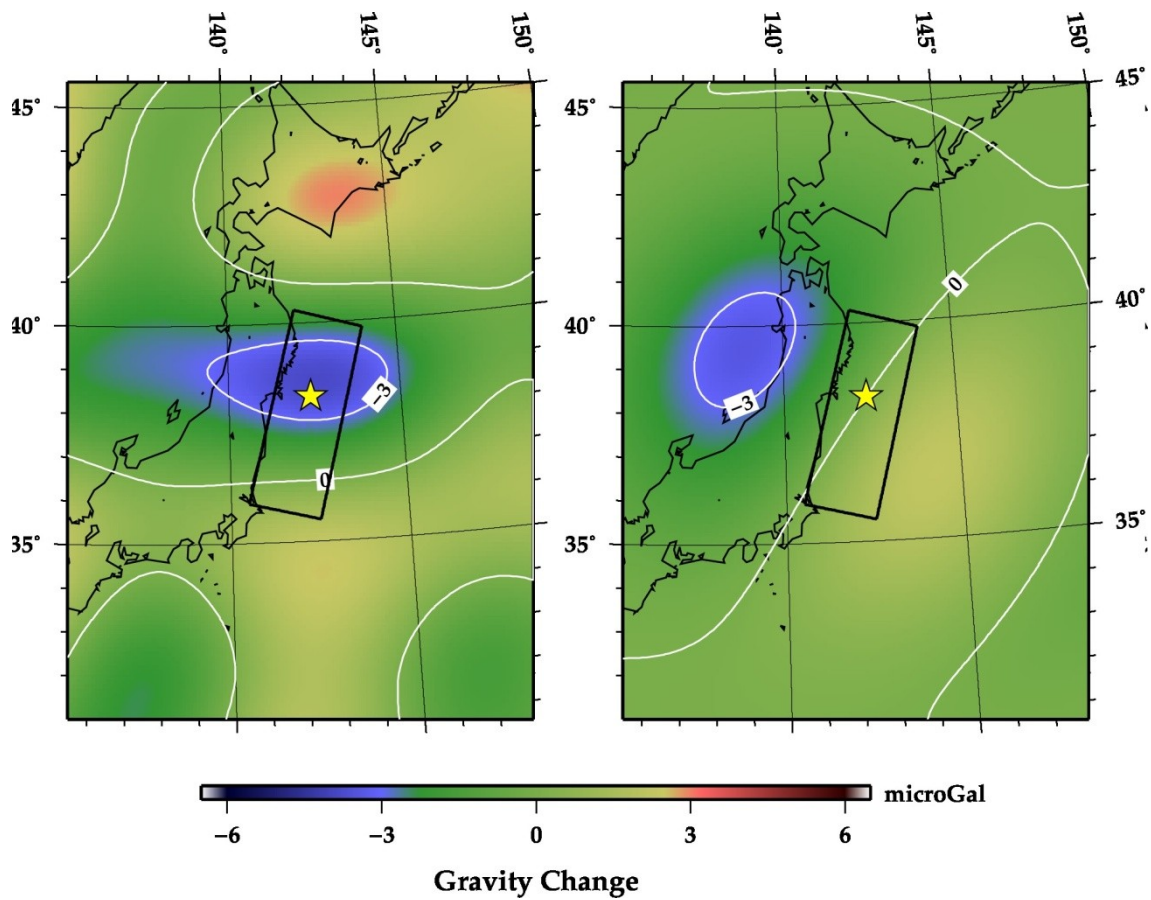
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Figure 3.6 The distribution of observed coseismic gravity change (left) of 2010 Maule

433 earthquake and that of calculated with the software of Sun et al. [2009] and the fault model
 434 shown in Heki and Matsuo. [2010] (right) as section 2.5. The left figure and right one is similar
 435 to each other. The yellow squares, black squares, yellow stars, and black points are the same as
 436 Figure 3.2.
 437
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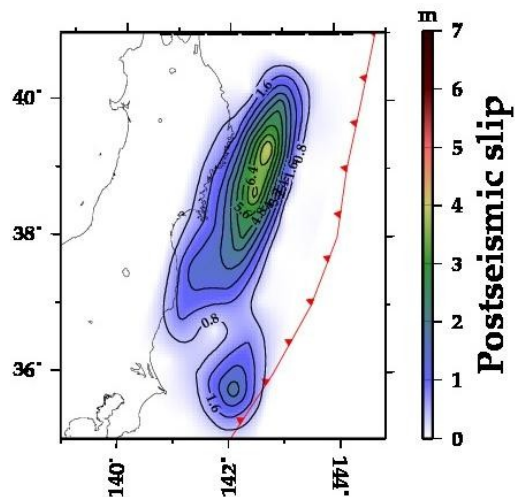


439
 440 **Figure 3.7** The distribution of observed coseismic gravity change (left) of 2011 Tohoku-oki
 441 earthquake and that of calculated with the software of Sun et al. [2009] and the fault model
 442 shown in Matsuo and Heki [2011] (right) as section 2.5. The left figure and right one is similar
 443 to each other to some extent. The yellow squares, black squares, yellow stars, and black points
 444 are the same as Figure 3.2.
 445
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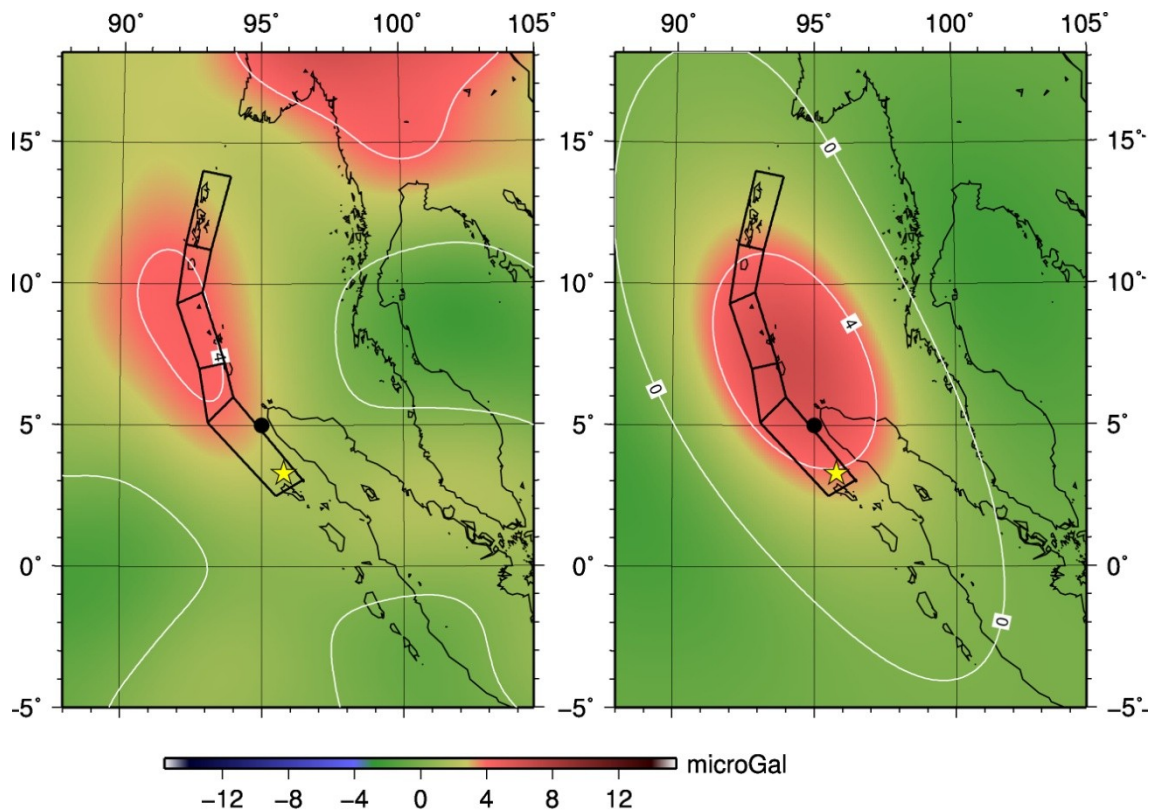
448 **Figure 3.8** (left) The same figure as (c-2) of figure 3.2. (right) The gravity changes of the
 449 afterslip calculated with the slip distribution from GPS data shown in Figure 3.9 by Dr. Matsuo.
 450 The amounts of gravity changes are near but spatial patterns are different.



451

452 **Figure 3.9** The slip distribution of the afterslip of 2011 Tohoku-oki earthquake calculated from
 453 GPS data.

454



Gravity Change

455
 456 **Figure 3.10** (left) The same figure as (a-3) of figure 3.2. (right) The gravity changes of the
 457 viscoelastic mantle relaxation calculated with the viscosity = 3×10^{18} (Pa sec) by Prof. Tanaka
 458 at Tokyo University, with the method of Tanaka et al. [2006] and Tanaka et al. [2007]. Both of
 459 the amounts and spatial patterns of gravity changes are very similar.

460
 461

462 3.2.2 F-test

463

464 The F-test is done to deny that signals are actually noises. The F-test is a statistical hypothesis
 465 tests to get the possibility of coincident of two groups, so the possibility that two groups are
 466 different is high when the possibility of F-test is low. This test is done with below formulas (3.2)
 467 ~ (3.5).

468 At first, the short-term postseismic gravity changes are presumed to be noises. Then each data
 469 becomes independent because they are just noises, so F-test can be done. If the results of F-test
 470 say the possibilities of coincidence are high, the hypothesis that they are noises is affirmed. But
 471 the possibilities are low, the hypothesis is denied and the short-term gravity changes are actually
 472 signals.

473 I estimated the difference of variances when the number of exponential components is one and

474 two with the data within yellow squares in Figure 3.2 for two months after the earthquake to do
 475 F-test.

476

$$\sigma^2 = \frac{\sum(x - \bar{x})^2}{n - 1}$$

477 (3.2)

$$F = \frac{\text{variance 1}}{\text{variance 2}} = \frac{\sigma_1^2}{\sigma_2^2}$$

478 (3.3)

$$f(F, \phi_1, \phi_2) = \left(\frac{\phi_1}{\phi_2}\right)^{\frac{\phi_1}{2}} \frac{\Gamma\left(\frac{\phi_1 + \phi_2}{2}\right)}{\Gamma\left(\frac{\phi_1}{2}\right)\Gamma\left(\frac{\phi_2}{2}\right)} \frac{F^{\frac{\phi_1-2}{2}}}{\left(1 + \frac{\phi_1}{\phi_2}F\right)^{\frac{\phi_1+\phi_2}{2}}}$$

479 (3.4)

$$\Gamma(z) = \int_0^{\infty} t^{z-1} e^{-t} dt$$

480 (3.5)

481 Where σ^2 = variance (σ = standard deviation), x = values of data, \bar{x} = the mean of x , n = total
 482 number of x , ϕ = flexibility of the data ($= n - 1$), and Γ is the gamma-function (e is the
 483 exponential). The f gives the possibility that the difference of variances of two groups is
 484 insignificant. In this study, x is an observed gravity value and \bar{x} is a value of the fitted function..

485 Each time constant for the function with single exponential is decided so that the variance of
 486 whole data gets the least (Figure 3.11). But time constants for the function with double
 487 exponential cannot be decided in this way because the short- and long-term postseismic gravity
 488 changes with the time constants taken in that way become much larger than coseismic gravity
 489 changes in both terms of amounts and spatial distributions of gravity changes (Figure 3.12).
 490 Though the mechanisms of postseismic gravity changes are not clear, this is unreasonable
 491 obviously. The double time constants are decided so that the functions fit the data near the
 492 epicenters well visually. Although this is not the greatest method and should be improved, the
 493 result of F-test also shows that postseismic gravity changes have two components.

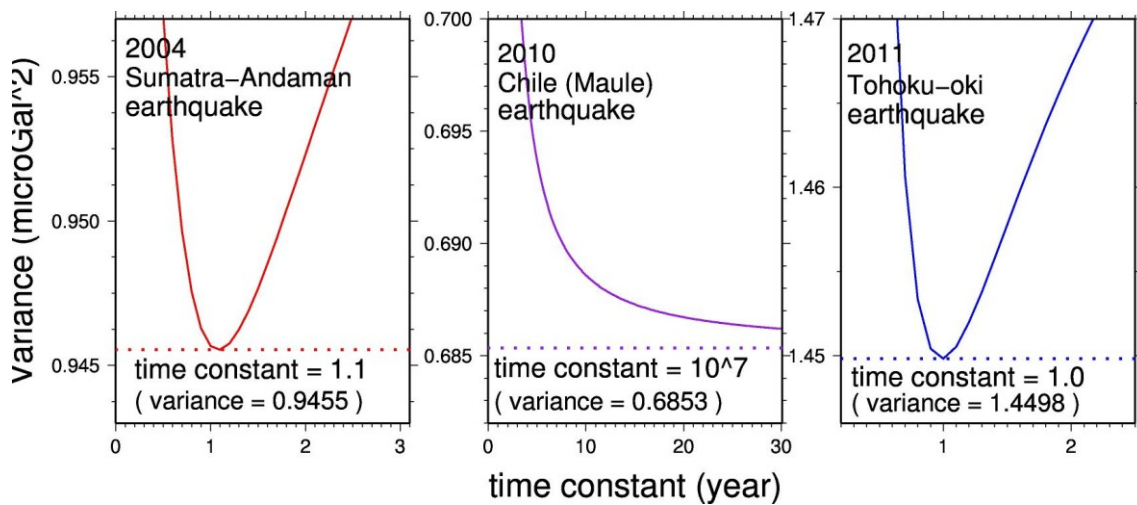
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Earthquake	Time constants (yr)	<i>n</i>	Variance	Possibility of coincidence
2004 Sumatra-Andaman	1.1	90	1.11079	$1.3 \times 10^{(-27)}$
	0.2 & 2.0		0.08579	
2010 Chile (Maule)	10^7	40	2.39388	$9.4 \times 10^{(-3)}$
	0.2 & 1.0		1.13140	
2011 Tohoku-oki	1.0	56	0.51032	$6.2 \times 10^{(-3)}$
	0.1 & 0.4		0.25755	

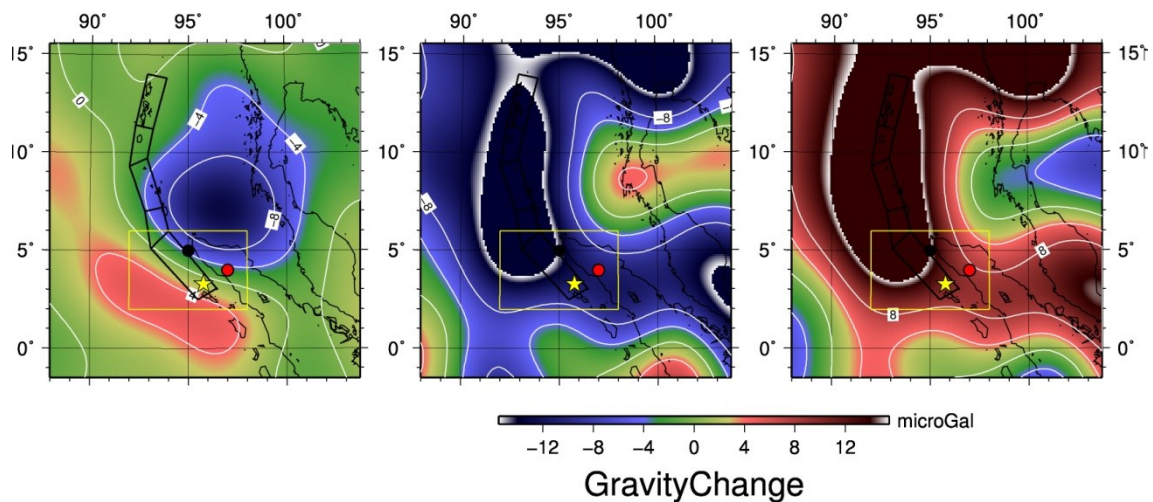
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Table 3.1 The results of F-test. The possibilities of coincidence are very small. The difference between the results of single-exponential-function fitting and double-exponential-function fitting is significant.



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Figure 3.11 Variances (the whole of observed gravity data after the earthquakes) and time constants.



507

508 **Figure 3.12** The gravity changes calculated with the time constants of 0.3 year and 0.4 year,
 509 which gives the least variance. The all marks are the same as Figure 3.2. This figure shows that
 510 the method of getting the least variance (or RMS) cannot be used to get two time constants.

511

512

513 3.2.3 Northward gravity changes (Observed)

514

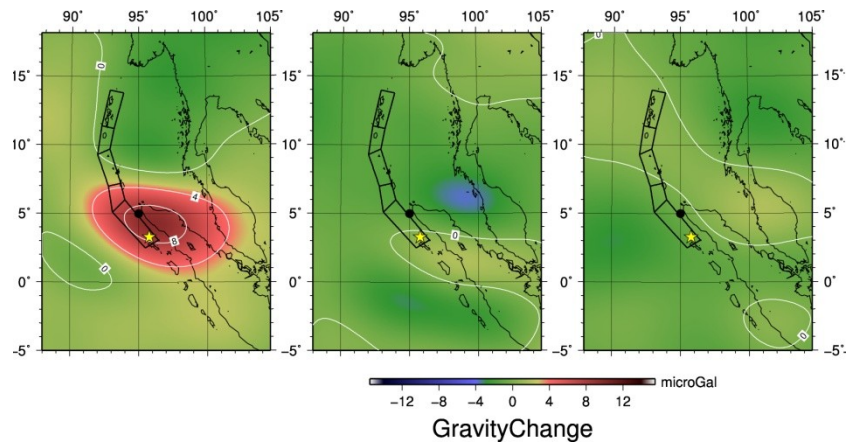
515 The northward co- and postseismic gravity changes are also calculated from the GRACE data
 516 with the Fan filter ($r = 250\text{km}$) and without de-stripping filter. They are shown in Figure 3.13 ~
 517 3.20. Coseismic gravity changes have northward components but postseismic gravity changes
 518 are not clear and there are no significant difference of the variances between the
 519 single-component fittings and the double-components fittings. This does not prove nor disprove
 520 that postseismic gravity change has two components.

521

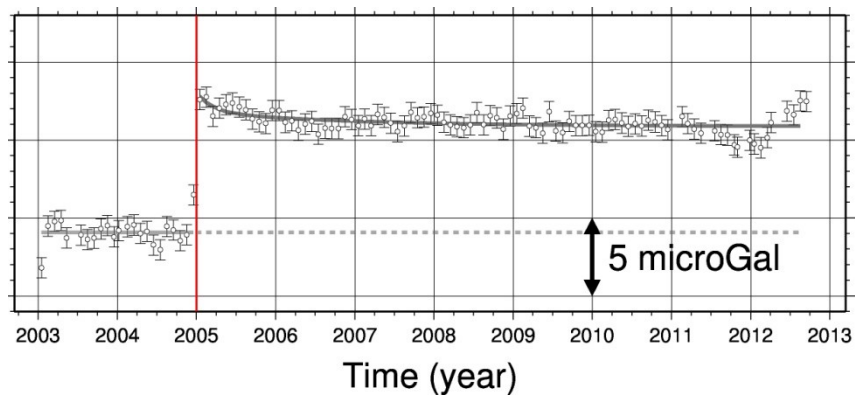
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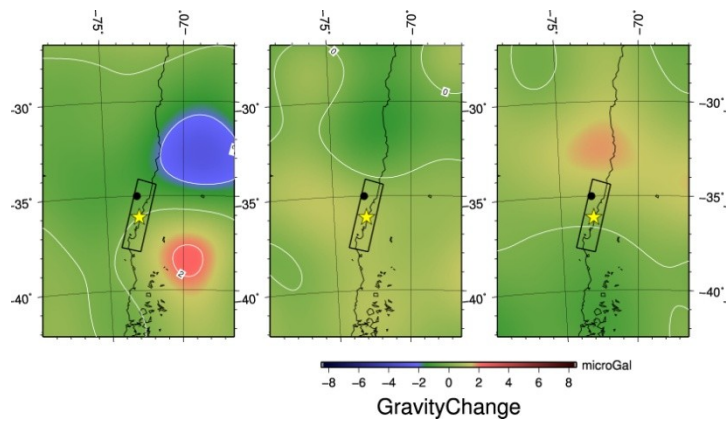


525
 526 **Figure 3.13** The co- (left) and postseismic (middle and right) northward gravity changes of
 527 2004 Sumatra-Andaman earthquake. The all marks are the same as figure 3.2. The coseismic
 528 gravity change is very big and large but postseismic gravity changes are not seen well.
 529



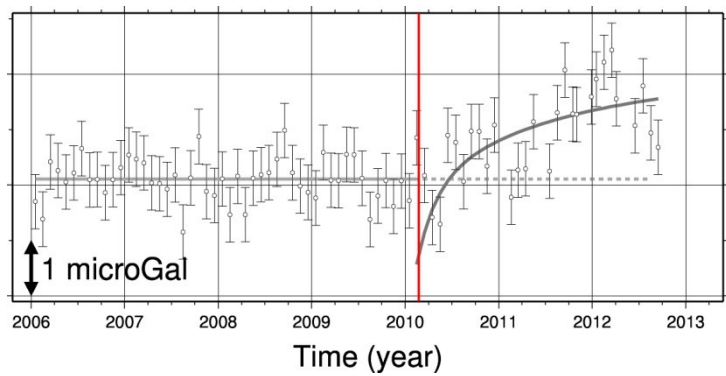
530
 531 **Figure 3.14** The time series of northward gravity changes of 2004 Sumatra-Andaman
 532 earthquake at the black point in Figure 3.13 (95E, 5N). The gravity decreased a little after the
 533 earthquake, but the second component is not seen in this time series.

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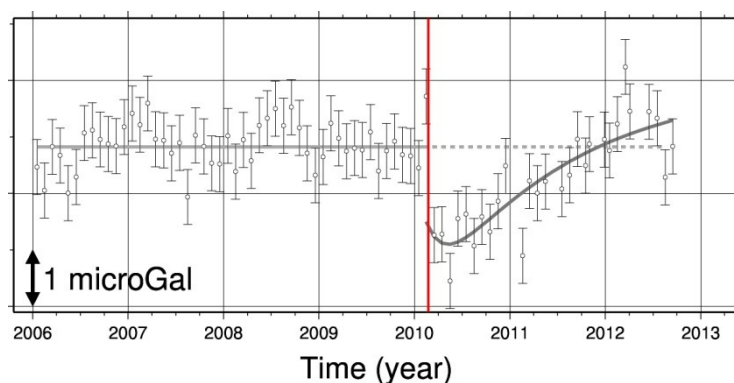
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546 **Figure 3.15** The co- (left) and postseismic (middle and right) northward gravity changes of
 547 2010 Chile (Maule) earthquake. The all marks are the same as figure 3.2. The coseismic gravity
 548 change is seen but postseismic gravity changes are not.



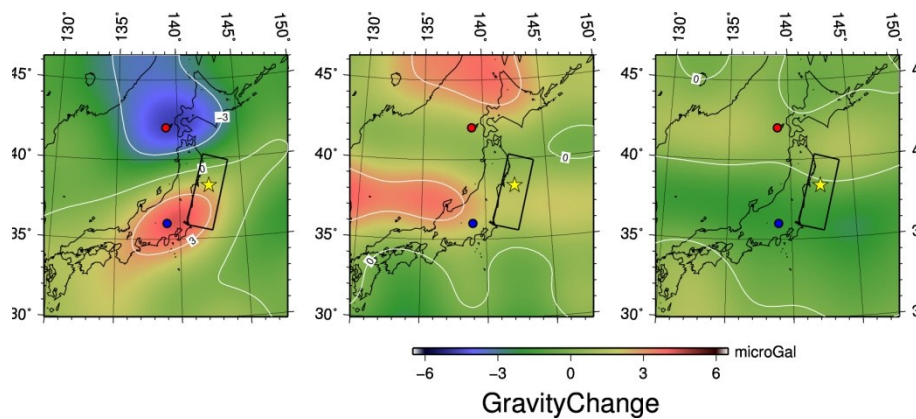
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550 **Figure 3.16** The time series of northward gravity changes of 2010 Chile (Maule) earthquake at
 551 at the black point in Figure 3.15 (75W, 35S). Postseismic gravity change is seen well but this is
 552 not seen in Figure 3.15 because the both of double components are used to fit the curve to the
 553 data.



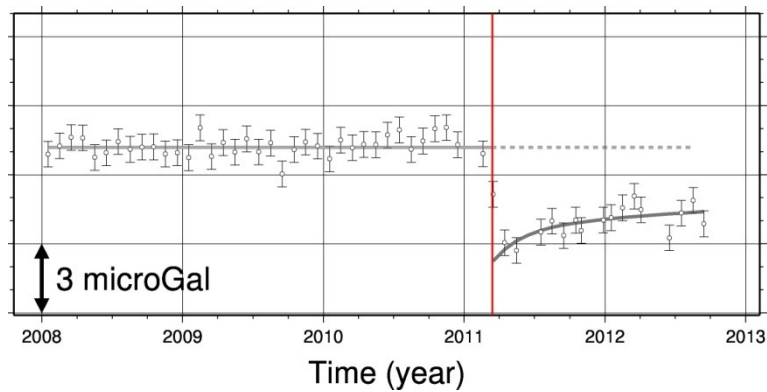
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555 **Figure 3.17** The time series of northward gravity changes of 2010 Chile (Maule) earthquake at
 556 at (72W, 33S), on the light red in Figure 3.15 (right). The gravity decreased for a few months
 557 after the earthquake and increased for longer period, but this is not enough to say there is
 558 significant difference.



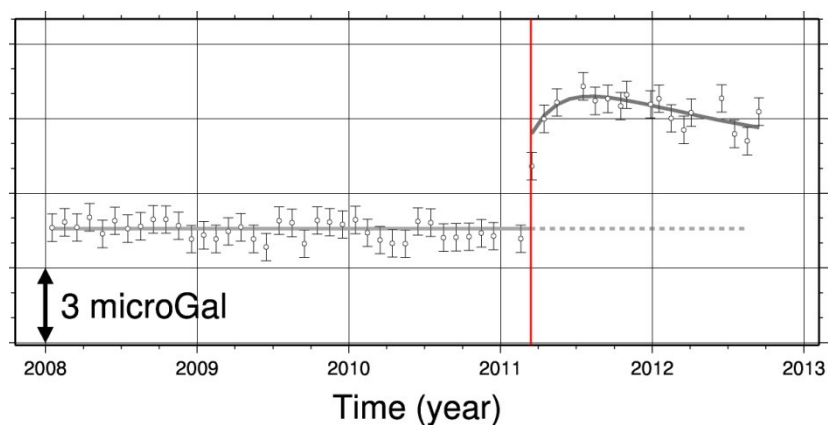
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560 **Figure 3.18** The co- (left) and postseismic (middle and right) northward gravity changes of
 561 2011 Tohoku-oki earthquake. The all marks are the same as figure 3.2. The coseismic gravity
 562 change is seen but postseismic gravity changes are not. The middle figure is very noisy.



563

564 **Figure 3.19** The time series of northward gravity changes of 2011 Tohoku-oki earthquake at the
 565 red point in Figure 3.18 (139E, 42N). The second component of the postseismic gravity change
 566 is not seen in this time series.



567

568 **Figure 3.20** The time series of northward gravity changes of 2011 Tohoku-oki earthquake at the
 569 blue point in Figure 3.18 (139E, 36N). Two components of the postseismic gravity change are
 570 seen well but the possibility of the noise is not disproved because the middle figure in Figure
 571 3.18 is very noisy.

572 *3.3 Contributions of the results*

573

574 This study suggests that the gravity is the first method to separate phenomena which happen
575 after earthquakes. Main shocks of earthquakes are observed with seismographs and coseismic
576 slips are observed with GNSS, but postseismic phenomena, like afterslip and mantle relaxation,
577 have not been separated with any methods. In this study, that the two components of postseismic
578 phenomena give the gravity changes with different polarities is discovered. This suggests that
579 the gravity measurements can separate them first.

580 To understand postseismic phenomena is important to understand the physical processes of
581 earthquakes and may be important to predict when and where earthquakes occur because the
582 causes of postseismic phenomena are co- or preseismic phenomena. This study will give the
583 quest for knowledge advance.

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608 **4. Summary**

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610 Gravity is the third method to observe earthquakes after the seismographs and GNSS. The data
611 of GRACE satellites, which keep on observing the gravity field of the earth, give us the insight
612 into phenomena under the ground and tell us two-dimensionally what happens when and after
613 earthquakes occur.

614 In this study, the gravity changes of the three mega-thrust earthquakes (2004
615 Sumatra-Andaman earthquake, 2010 Chile (Maule) earthquake, and 2011 Tohoku-oki
616 earthquake, which occurred after 2002, when the GRACE satellites were launched) are observed
617 with the GRACE and an important fact is found. It is that the gravity which decreases
618 coseismically keeps on decreasing for a few months and increases for a longer period; the
619 postseismic gravity change has two components (short- and long-term gravity changes). It is
620 also supported by F-test. The results of F-test say that the curves fitted to the observed data
621 become much better when it gets the second exponential than when it has only one exponential.
622 Although the northward postseismic gravity changes observed with GRACE do not show the
623 second components, it is clear that the postseismic gravity changes have two components.

624 The mechanisms of short- and long-term postseismic gravity changes are explained with
625 afterslip and viscoelastic mantle relaxation to some extent but they also have some problems.
626 Afterslip has a problem of spatial pattern. The result of calculation of afterslip gives the good
627 amount of gravity changes and the good spatial scale (size) which explain the observed results
628 well, but does not give a great spatial distribution to explain the observed results. Viscoelastic
629 mantle relaxation has a temporal problem. The good results which explain observation well take
630 much lower viscosity in the mantle than average. Even if the mantle under the faults of 2004
631 Sumatra-Andaman earthquakes are very soft, the long-term postseismic gravity changes of 2010
632 Chile (Maule) earthquake and 2011 Tohoku-oki earthquake take only a few months to get
633 increased. It is not very natural that all of the viscosities of the rocks under the faults of the three
634 megathrust earthquakes are very low. Then, other mechanisms may be needed to explain the
635 postseismic gravity changes.

636 Although the mechanisms of postseismic gravity changes have to be discussed more in the
637 future, the gravity observation as the third sensor for earthquakes gets the postseismic
638 phenomena separated, which the first (seismographs) and second (GNSS and SAR) sensors
639 cannot do. This result gives the quest for knowledge advance.

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